

# Cosmology

## 1. The Expanding Universe

### 1.1 The Cosmological Principle (Copernicus)

Our position in the Universe — with respect to the largest scales — is in no sense preferred, i.e. our universe is spatially homogeneous and isotropic.

**Isotropy** means the same in all directions about a point  $P$ .

**Homogeneous** means space is the same about all points.

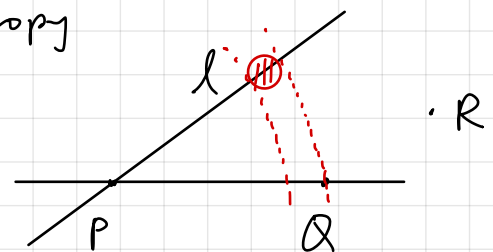
Homogeneity does not imply isotropy (e.g. crystals). But a homogeneous universe that is isotropic about a point  $P$  is also isotropic about all points.

Isotropy does not imply homogeneity (e.g. Ptolemaic view). But isotropy about three non-collinear points does imply hom.

Take points  $P, Q, R$ . Consider line  $l$  through  $P$  but not  $Q$ .

Any inhom along  $l$  become an isotropy viewed from  $Q$ . ✗

Space is hom except for  $l_{PQ}$ , now view from  $R$ .

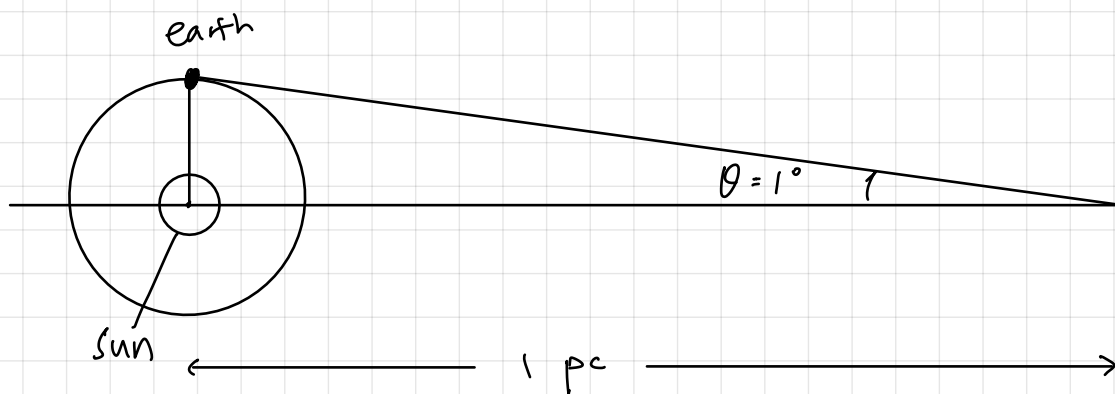


### Astronomical units

Time : 1 year =  $3.16 \times 10^7$  s

Distance : 1 lightyear =  $9.5 \times 10^{12}$  km.

Earth-sun 1 a.u. =  $1.5 \times 10^8$  km



1 pc (1 parallax of 1 arcsecond) = 326 lightyear =  $3.1 \times 10^{13}$  km  
 1 Mpc =  $10^6$  pc.

Mass: 1 solar mass =  $1 M_{\odot} = 2 \times 10^{30}$  kg.

## 1.2 Kinematics of an expanding universe

### Hubble - Lemaitre Law

The recessional velocity of a galaxy  $\underline{v}$  is proportional to its distance  $r = |\underline{r}|$

$$\underline{v} = H_0 \underline{r} \quad (1.1)$$

where  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$  is the Hubble's const. (canonical value). The units are inverse time

$$H_0^{-1} \approx 4.4 \times 10^{17} \text{ s} \approx 14.1 \text{ billion years.}$$

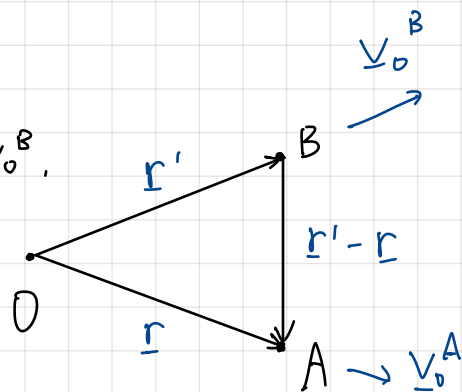
(linear approximation to the age of the universe)

### Why Hubble's Law?

Consider 3 galaxies O, A, B. with velocities from O of  $\underline{v} = \underline{0}$ ,  $\underline{v}_0^A$ ,  $\underline{v}_0^B$ .

View from A, B has velocity

$$\underline{v}_A^B = \underline{v}_0^B - \underline{v}_0^A \quad (*)$$



- Homogeneity implies that relative velocities can only depend on relative position. From (\*),

$$\underline{v}(\underline{r}_B - \underline{r}_A) = \underline{v}(\underline{r}_B - \underline{r}_0) - \underline{v}(\underline{r}_A - \underline{r}_0),$$

or

$$\underline{v}(\underline{r}' - \underline{r}) = \underline{v}(\underline{r}') - \underline{v}(\underline{r})$$

i.e. the vector field  $\underline{v}$  (coords  $v_i$ ) is linearly related to  $\underline{r}$  (coords  $r_i$ ):

$$v_i = H_{ij} r_j \quad (*)$$

with const. matrix  $H_{ij}$  ( $\underline{r}$  indpt).

- Isotropy implies  $H_{ij} = L_{ik} H_{kl} L_{lj}$  for any rotation matrix  $[L_{ij}]$ . The only rank 2 isotensor is  $\lambda \delta_{ij}$ , so we must have  $H_{ij} = H_0 \delta_{ij}$

$$\Rightarrow \underline{v} = H_0 \underline{r} \quad \square$$

Comments: (1) Uniform expansion is consistent with the cosmological principle.

(2)  $H_0$  is indpt of  $\underline{r}$ , but it can be time dependent.

$$\underline{v} = H(t) \underline{r} \quad (1.3)$$

$H_0$  is the value measured today at  $t = t_0$ .

The scale factor of the universe

Integrate (1.3)  $\frac{dr}{r} = H(t) dt \quad (r = |\underline{r}|),$

$$\Rightarrow \frac{dr}{r} = H dt$$

$$\Rightarrow \ln r = \int H dt \equiv \ln a + \text{const};$$

where we define the "scale factor"  $a(t)$  by

$$\frac{a(t)}{a(t_0)} = \exp\left(\int_{t_0}^t H(t') dt'\right)$$

So we have

$$H \equiv \frac{\dot{a}}{a} = \frac{1}{a} \frac{da}{dt} \quad (1.4)$$

The hom and iso sol<sup>n</sup> when  $r(t) = a(t) \frac{r(t_0)}{a(t_0)}$ , or

$$r(t) = a(t) \underline{x} \quad (1.5)$$

Overall scaling motion delocalised by scale factor  $a(t)$  with const. component  $\underline{x}$  labelling galaxies in the uniform Hubble flows (Lagrangian).

Note: • Often we take  $a_0 \equiv a(t_0) = 1$  (1.6), so  $|\underline{x}|$  is the physical distance to a galaxy today ( $t=t_0$ ).

• In many cases,  $a(t) = (t/t_0)^\alpha$ . e.g.  $\alpha = \frac{2}{3}$  for "dust".

$$\Rightarrow H(t) = \frac{\alpha}{t}$$

Then at  $\alpha=0$ , when  $t=0$ . "big bang".

• Most galaxies have a peculiar velocity  $\underline{v}_{pec}$  relative to the comoving frame ( $\dot{\underline{x}} \neq 0$ ):

$$\underline{v} = \dot{a} \underline{x} + a \dot{\underline{x}} = \underset{\substack{\uparrow \\ \text{Hubble} \\ \text{flow}}}{H} \underline{r} + \underset{\substack{\uparrow \\ \text{deviation}}}{\underline{v}_{pec}}$$

Aside: A first approx to scale factor

$$a(t) = a(t_0) + \dot{a}(t_0)(t-t_0) + \dots \Rightarrow \frac{a(t)}{a(t_0)} = 1 + H_0(t-t_0) + \dots$$

So there is an apparent singularity when  $t_0 - t = H_0^{-1}$  at which  $a=0$ , i.e. a finite age of the universe and a big bang.

## Cosmological Redshifts

Recessional velocities  $v$  are inferred from the redshift  $z$  of galaxy spectral lines.

$$1 + z \equiv \frac{\lambda_0}{\lambda_e}, \quad (1.8)$$

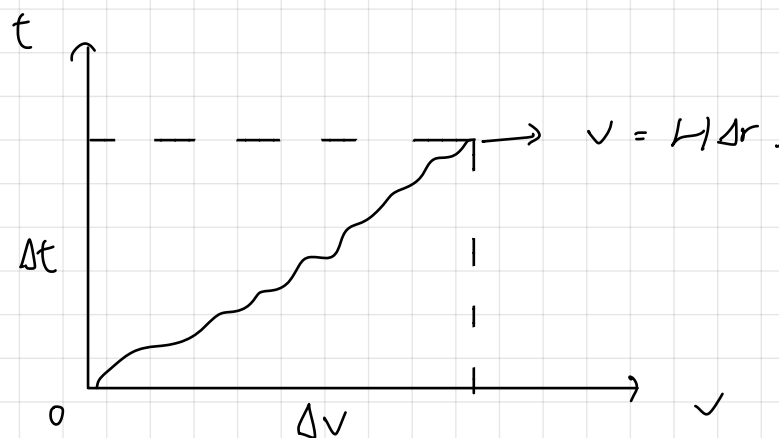
where  $\lambda_e$  is the emitted wavelength and  $\lambda_0$  is the observed today (freq  $\nu = c/\lambda$ )

In special relativity, Doppler shift seen by a moving observer (velocity  $v$ )

$$\lambda_0 = \sqrt{\frac{1+v/c}{1-v/c}} \lambda_e \approx \lambda_e \left(1 + \frac{v}{c} + \dots\right) \quad (1.9)$$

So  $cz \approx v$  for  $v \ll c$ . Consider photon emitted at  $t=0$  at  $r=0$ , reaching a comoving galaxy at  $\Delta r$  receding at velocity

$$v = H \Delta r = Hc \Delta t \quad (t)$$



From (1.9), with  $\lambda_0 \mapsto \lambda + \Delta\lambda$ ,

$$\frac{\lambda + \Delta\lambda}{\lambda} = 1 + z \approx 1 + \frac{v}{c} \Rightarrow \frac{\Delta\lambda}{\lambda} = \frac{v}{c} = H \Delta t.$$

Hence, with  $H = \dot{\alpha}/\alpha$ , we have

$$\frac{\dot{\lambda}}{\lambda} = \frac{\dot{a}}{a} \Rightarrow \ln \lambda = \ln a + \text{const.}$$

Integrating from emission to today  $t_0$ .

$$\frac{\lambda(t_0)}{\lambda(t_e)} = \frac{a(t_0)}{a(t_e)} = 1+z \quad (1.10)$$

or for frequency

$$\frac{\nu(t_0)}{\nu(t_e)} = \frac{a(t_e)}{a(t_0)}$$

### 1.3 Newtonian Gravity Revisited

Universal law of gravitation states force on one object (mass  $m$ , pos<sup>n</sup>  $\underline{r}$ ) due to another object (mass  $M$ , pos<sup>n</sup>  $\underline{r}'$ ) is

$$\underline{F} = m\ddot{\underline{r}} = - \frac{GMm (\underline{r} - \underline{r}')}{|\underline{r} - \underline{r}'|^3} \quad (1.12)$$

with  $G = 6.67 \times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$ . Acceleration due to a mass dist<sup>n</sup> with mass density  $\rho(\underline{r})/c^2$  ( $\rho(\underline{r})$  is energy density) in a volume  $V$ .

$$\ddot{\underline{r}} = -G \int \int \int_V \underline{r}' \frac{\rho(\underline{r}')}{c^2} \frac{\underline{r} - \underline{r}'}{|\underline{r} - \underline{r}'|^3} \quad (1.13)$$

Equivalently, we introduce grav potential  $\Phi$  satisfying

Poisson eqn

$$\nabla^2 \Phi = \frac{4\pi G}{c^2} \rho \quad (1.14)$$

with general sol<sup>n</sup>

$$\Phi(\underline{r}) = - \frac{G}{c^2} \int \frac{\rho(\underline{r}')}{|\underline{r} - \underline{r}'|} \int \int \int_V \quad (1.15)$$

Exercise: Verify force law using

$$\underline{F} = -m \nabla \Phi \quad (1.16)$$

Gauss' law for gravity: Mass  $M$  in a volume  $V$  enclosed by surface  $S$ .

$$\begin{aligned} M &= \int_V d^3r \frac{\rho(r)}{c^2} = \frac{1}{4\pi G} \int_V d^3r \nabla^2 \Phi \\ &= \frac{1}{4\pi G} \int_S \nabla \Phi \cdot d\mathbf{\Sigma} \end{aligned} \quad (1.17)$$

by Gauss law.

Isotropic configuration (Newton's thm)

The grav. force outside a sph. sym. mass dist (radius  $R$ ) is same as if all of the mass were concentrated at its centre  $r=0$ . (Principle II).

Pf: Consider a sph shell (mass  $M$ ) at  $r=R$  with

$$d\mathbf{\Sigma} = \hat{\mathbf{e}}_r R^2 \sin\theta d\theta d\phi \text{ and } \nabla \Phi = -\ddot{\mathbf{r}} = -\ddot{r} \hat{\mathbf{e}}_r$$

So

$$\int_S \nabla \Phi \cdot d\mathbf{\Sigma} = - \int_S \ddot{r} \cdot d\mathbf{\Sigma} = -\ddot{r} \int R^2 \sin\theta d\theta d\phi = -4\pi R^2 \ddot{r}$$

But  $\int_S \nabla \Phi \cdot d\mathbf{\Sigma} = 4\pi G M$  by Gauss' law (1.17), where

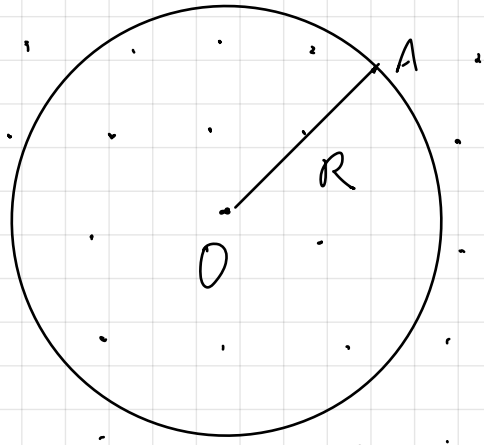
$$M = \int_0^R \frac{\rho(r)}{c^2} d^3r, \text{ i.e. acceleration from shell at } r=R$$

$\ddot{r} = -\frac{GM}{r^2}$  is the same as from a point mass at  $r=0$ .  $\square$

Newton's 2nd thm states that sph. shells at  $r > R$  exert no net grav force at  $R$  (Exercise: prove using previous result).

## Why Newton's static universe was wrong?

Newton claimed that uniform matter is in an infinite universe would be in static unstable equilibrium.



Consider force at point A

(mass  $\delta m$ , distance  $R$ ) in frame  $O$

at rest. Hom and iso implies  $\underline{F}$  at  $O$  vanishes

("control star") but not  $\underline{F}$  at A.

For uniform  $\rho$ , there is a net force at A,

$$\underline{F}_{r < R} = \delta m \ddot{R} = - \frac{G \delta m M}{R^2} \quad (1.18)$$

with  $M = 4\pi \int_0^R \frac{\rho}{c^2} r^2 dr = \frac{4\pi}{3c^2} \rho R^3$ . by (1.17).

Is this cancelled for  $\underline{F}_{r > R}$ ? Sph. shells  $r > R$  produce no net force (Birkhoff's thm).  $\underline{F}_{r > R} = 0$ . Net inward pointing force at A.

$$\underline{F} = - \frac{G \delta m M}{R^2} \hat{e}_r \neq 0$$

So Newton's static universe will collapse.

### 1.4 The Friedmann Equation

Consider force (1.18) on a particle (galaxy mass  $\delta m$ ) in a spherical shell at  $R(t)$ .

$$\delta m \ddot{R} = - \frac{G \delta m M}{R^2} .$$

with  $M = \frac{4\pi}{3c^2} \rho R^3$ . Multiply by  $\dot{R}$  and integrate

$$KE \rightarrow \frac{1}{2} \dot{m} \dot{R}^2 = \frac{G \dot{m} M}{R} \leftarrow \text{Gravitational PE.}$$

For a comoving shell  $R(t)$ , we have  $\dot{M} = 0$  by mass conservation (no. of particles inside  $R$  is const.). Integrating,

$$\frac{1}{2} \dot{R}^2 - \frac{GM}{R} = \text{const.} \quad (\dagger)$$

$$\Rightarrow \frac{1}{2} \dot{R}^2 - \frac{4\pi G}{3c^2} \rho R^2 = \text{const.}$$

$\nearrow$  KE                       $\nwarrow$  PE                       $\nwarrow$  total energy

Now substitute with a scale factor  $R(t) = a(t) x_0$  (1.5) and find

$$\frac{1}{2} \dot{a} x_0^2 - \frac{4\pi G}{3c^2} \rho a^2 x_0^2 = \text{const.}$$

$$\equiv -\frac{kc^2}{2} x_0^2,$$

comoving coord  
labelling shell

where  $k$  const. has dimensions of inverse length square (same as curvature). This yields the

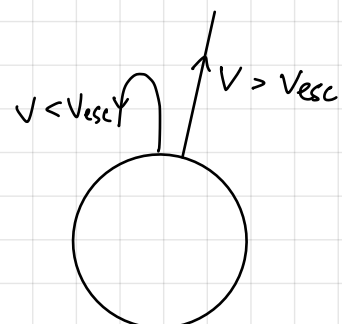
Friedmann equation

$$\left(\frac{\dot{a}}{a}\right)^2 + \frac{kc^2}{a^2} = \frac{8\pi G}{3c^2} \rho \quad (1.20)$$

Derived in 1924 from Einstein's full GR eqn.

How do we interpret  $k$ ?

Projectile thrown from earth satisfies the analogue (1.19) with  $v = \dot{R}$ .



Take const. =  $-k = 0$ , then  $\frac{1}{2}v^2 = \frac{GM}{R}$   
 $\Rightarrow v = v_{esc} = \sqrt{\frac{2GM}{R}}$ .

the escape velocity

Exercise Find  $v_{esc}$  for  $M_{\oplus} = 6 \times 10^{24}$  kg,  $R_{\oplus} = 6000$  km

In Friedmann (1.20),  $H^2 = (\dot{a}/a)^2$  is either above ( $k < 0$ ), equal to ( $k = 0$ ), or below ( $k > 0$ ) the escape velocity of the Universe. Usually described by comparing to the critical density for  $k = 0$  in (1.20) defined as

$$\rho_{crit}(t) \equiv \frac{3c^2}{8\pi G} H^2 \quad (1.21)$$

The density parameter is

$$\Omega(t) \equiv \frac{\rho(t)}{\rho_{crit}(t)} \quad (1.22)$$

Today,  $\Omega_0 = \frac{8\pi G}{3c^2 H_0^2} \rho(t_0)$ . Hence.

{	$\Omega > 1$	$k > 0$	turnaround recollapse*
	$\Omega = 1$	$k = 0$	continued expansion
	$\Omega < 1$	$k < 0$	continued expansion

modified by  $\wedge$

### 1.5 Fluid Conservation Equation

A small fluid element in a comoving volume  $V_0$  obeys

$$V(t) = a^3(t) V_0$$

so rate of change of vol. is

$$\frac{dV}{dt} = 3\dot{a} a^2 V_0 = 3 \frac{\dot{a}}{a} V \quad (1.23)$$

The energy of moving fluid  $E = \rho V$  changes as

$$\frac{dE}{dt} = \dot{\rho} V + \rho \dot{V}$$

or

$$\dot{\rho} = \frac{\dot{E}}{V} - 3 \frac{\dot{a}}{a} \rho \quad (*)$$

For NR matter (galaxies or "dust", number conserved  $dN=0$ ,  $v_{pec} \ll c$ ), so we have  $\dot{E} \approx 0$ .

But if we have a uniform pressure  $P(t)$  then any adiabatic (slow) change in Vol. means work is done.

$$dE = -P dV \quad (1.24)$$

This is the first law of thermodynamics. ( $\S 4$ ,  $dN = dS = 0$ ).

So (1.23)  $\Rightarrow$  
$$\frac{dE}{dt} = -P \frac{dV}{dt} = -3 \frac{\dot{a}}{a} PV \quad (†)$$

Combining (†) and (\*), we obtain the continuity eqn.

$$\boxed{\dot{\rho} = -3 \frac{\dot{a}}{a} (\rho + P)} \quad (1.25)$$

↑  
dilution

← work against expansion

If we now specify an eqn of state

$$P = P(\rho) \quad (1.26)$$

then a closed system with 3 eqn and 3 unknowns

$a, \rho, P$ . A linear EoS relation is sufficient in many cases

$$P = w\rho \quad (1.27)$$

where  $w$  is a const. (ordinary matter  $0 \leq w \leq 1$ ).

- Dust (NR matter)  $w=0$
- Radiation  $w=1/3$  ( $P = \rho/3$  - see §4).

Exercise For the linear EoS (1.27), solve (1.25) to show

$$\rho = \rho_0 a^{-3(1+w)} \quad (1.28)$$

with  $\rho_0 = \rho(t_0)$ ,  $a(t_0) = 1$ .

### 1.6 Einstein-de Sitter solution ( $P=0$ , $k=0$ )

Pressure-free ( $w=0$ ) matter const. at critical density

$$\Omega = 1 \quad (k=0).$$

Continuity eqn (1.25) becomes

$$\frac{\dot{\rho}}{\rho} = -3 \frac{\dot{a}}{a} \Rightarrow \frac{d\rho}{\rho} = -3 \frac{da}{a} \Rightarrow \rho \propto a^{-3},$$

i.e.

$$\rho = \rho_0 / a^3 \quad (1.29)$$

Friedmann (1.20) becomes

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3c^2} \frac{\rho_0}{a^3}$$

$$\Rightarrow \sqrt{a} da = \sqrt{\frac{8\pi G}{3c^2}} dt$$

$$\Rightarrow \frac{2}{3} a^{3/2} = \sqrt{\frac{8\pi G}{3c^2}} t + \text{const.}$$

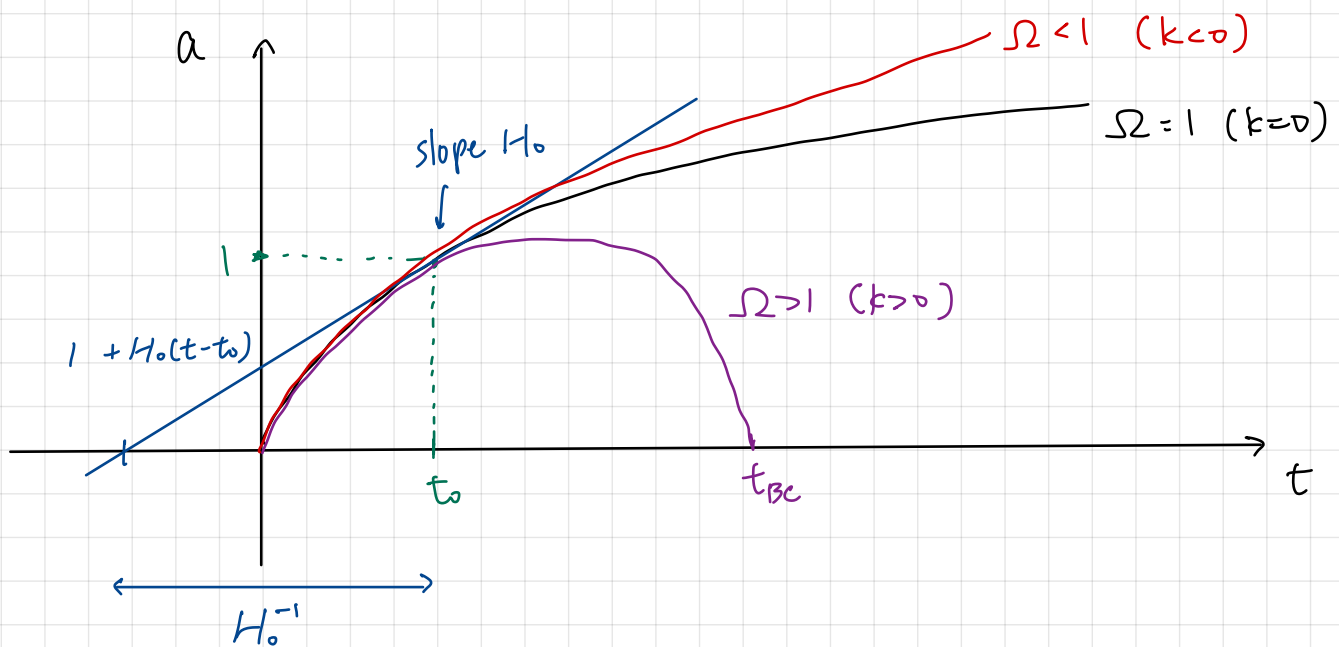
with  $a \rightarrow 0$ ,  $t \rightarrow 0 \Rightarrow \text{const.} = 0$ , so

$$a^3 = 6\pi G \frac{\rho_0}{c^2} t^2,$$

or

$$a = (t/t_0)^{2/3} \quad (1.30)$$

with  $t_0 = (6\pi G \rho_0 / c^2)^{-1/2}$ .



So using measured  $H_0$  (1.2), we have age of universe

$$t_0 = \frac{2}{3} H_0^{-1} \approx 9 \times 10^9 \text{ yrs.}$$

Mass density from (1.29) is

$$1 \text{ H atom/m}^3 \quad \boxed{\rho/c^2 = \frac{1}{6\pi G t^2}} \quad (1.31)$$

with  $\rho_0 \approx 10^{-26} \text{ kg m}^{-3} \sim 10'' M_\odot / (1 \text{ Mpc})^3$   
↖ avg. galaxy separation.

### 1.7 Acceleration (or Raychaudhuri) Equation

Differentiate Friedmann (1.20) as  $\dot{a}^2 + kc^2 = \frac{8\pi G}{3c^2} \rho a^2$

$$\Rightarrow 2\dot{a}\ddot{a} = \frac{8\pi G}{3c^2} (\dot{\rho}a^2 + 2\dot{a}a\rho)$$

$$\Rightarrow 2\frac{\ddot{a}}{a} \cdot \frac{\dot{a}}{a} = \frac{8\pi G}{3c^2} \left( -3\frac{\dot{a}}{a}(\rho + P) + 2\frac{\dot{a}}{a}\rho \right)$$

$$\Rightarrow \boxed{\frac{\ddot{a}}{a} = -\frac{4\pi G}{3c^2} (\rho + 3P)} \quad (1.32)$$

which is a simplified form of Raychaudhuri eqn in GR

Exercise Using  $H = \dot{a}/a$ , show that (1.32) takes the form

$$\dot{H} + H^2 = -\frac{4\pi G}{3c^2} (\rho + 3P) \quad (1.33)$$

## \* 1.8 General Isotropic Force Law \*

What is the most general force satisfying Newton's sph. thm? i.e. when does  $\Phi_{\text{shell}}(r) = \Phi_{\text{point}}(r)$ ?

See lecture notes to derive linear ODE  $\Phi$  must satisfy. Seek power sol<sup>n</sup>s  $\Phi = \alpha r^n$ , and we find 2 sol<sup>n</sup>s  $n = -1, n = 2$ .

$$\Phi = Ar^{-1} + Br^2 = -\frac{GM}{r} - \frac{1}{6}\Lambda c^2 r^2 \quad (1.34)$$

where  $\Lambda$  has units of inverse length squared.

The general force law is  $\underline{F} = -m \nabla \Phi$ .

$$\underline{F} = -\frac{GMm}{r^2} + \frac{1}{3}\Lambda c^2 m r \quad (1.35)$$

Newton's  $\nearrow$  inverse square law       $\nwarrow$  Hooke's law for elastic media

### Cosmological constant $\Lambda$

For  $\Lambda > 0$ , repulsive force opposing grav. attraction (anti-gravity).

Dominates if  $\Lambda c^2 > 8\pi G\rho/c^2$ .

For  $\Lambda < 0$ , we have attractive force with only elliptical orbits. (no escape)

Exercise Start with general potential (1.34), and re-derive Friedmann (1.20) to find

$$\left(\frac{\dot{a}}{a}\right)^2 + \frac{kc^2}{a^2} = \frac{8\pi G}{3c^2} \rho + \frac{\Lambda c^2}{3} \quad (1.36)$$

## 2. Relativistic Cosmology

### 2.1 Special Relativity generalised

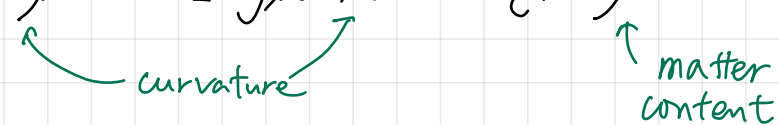
Newtonian gravity in Euclidean space  $\mathbb{R}^3$  is inadequate for strong gravity or the global geometry on large scales.

In SR, we measure spacetime distance with the Minkowski metric (summation convention)

$$\begin{aligned} ds^2 &= g_{\mu\nu} dx^\mu dx^\nu = g_{00} (dx^0)^2 + \underbrace{g_{ij}^{(3)} dx^i dx^j}_{=dl^2} \\ &= -c^2 dt^2 + dx^2 + dy^2 + dz^2 \end{aligned} \quad (2.1)$$

In cosmology, seek a similar diagonal time and space shift consistent with hom. and isotropy. In GR, Einstein eqns relate the curvature tensor (Ricci  $R_{\mu\nu}$ , trace  $R$ ) to the energy tensor  $T_{\mu\nu}$

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = \frac{8\pi G}{c^4} T_{\mu\nu} \quad (2.2)$$



"Geometry tells matter how to move, matter tells geometry how to curve." Geometry becomes empirical.

Curvature  $R$  shifts into intrinsic part (spatial curvature  $k = \frac{R^{(3)}}{6}$ ) plus an extrinsic part (expansion  $\dot{\gamma} = -3H$ ).

## 2.2 Three spaces of constant curvature

2D analogues in  $\mathbb{R}^2$ ,  $S^2$ ,  $H^2$ .

• Flat Euclidean space  $\mathbb{R}^2$  has metric

$$dl^2 = dx^2 + dy^2 = dr^2 + r^2 d\phi^2$$

where  $x = r \cos \phi$ ,  $y = r \sin \phi$ ,  $r^2 = x^2 + y^2$ ,  $\phi \in [0, 2\pi]$

Here, curvature = 0, but we can have a non-zero  $k$  provided it is constant everywhere, i.e.  $k=0$ ,  $k>0$ ,  $k<0$ .

• Two-sphere  $S^2$  (radius  $R$ ) has positive curvature

$K = \frac{1}{R^2}$ . We can embed  $S^2$  into 3D Euclidean space.

$$x^2 + y^2 + z^2 = R^2 \quad (2.4)$$

Take  $r^2 = x^2 + y^2$  again in Euclidean 3-metric

$$dl^2 = dx^2 + dy^2 + dz^2 = dr^2 + r^2 d\phi^2 + dz^2$$

From (2.4),  $r^2 + z^2 = R^2 \Rightarrow r dr = -z dz$

$$\begin{aligned} \Rightarrow dl^2 &= dr^2 + r^2 d\phi^2 + \frac{r^2}{z^2} dr^2 \\ &= dr^2 + r^2 d\phi^2 + \frac{r^2}{R^2 - r^2} dr^2 \\ &= \frac{1}{1 - r^2/R^2} dr^2 + r^2 d\phi^2 \end{aligned}$$

$$\Rightarrow dl^2 = \frac{1}{1 - Kr^2} dr^2 + r^2 d\phi^2 \quad (2.5)$$

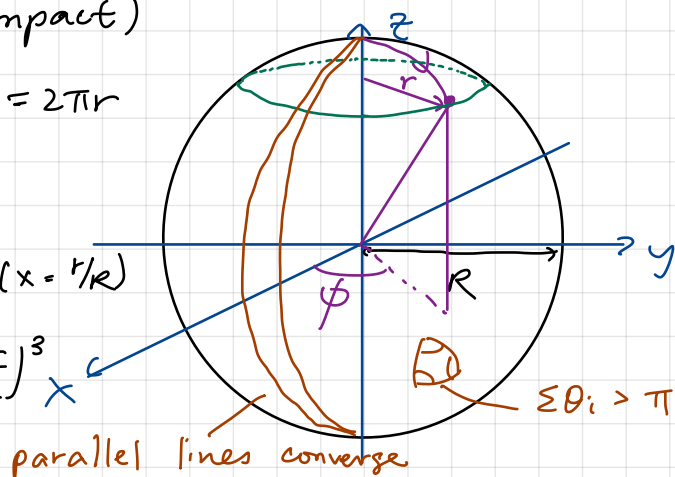
where  $K = \frac{1}{R^2}$ ,  $0 \leq r \leq R$  (compact)

From (2.5), circle has circum( $S^1$ ) =  $2\pi r$

but radial path length

$$\text{Radius}(S^1) = \int_0^r \frac{dr'}{\sqrt{1 - r'^2/R^2}} = R \int \frac{dx}{\sqrt{1 - x^2}} \quad (x = r/R)$$

$$= R \sin^{-1}\left(\frac{r}{R}\right) \approx r + \frac{R}{6} \left(\frac{r}{R}\right)^3 \geq r$$



Exercise : Define polar coord

$$\theta = \frac{1}{R} \int \frac{dr}{\sqrt{1-(r/R)^2}} \quad (2.6)$$

to find the usual  $S^2$  metric

$$dl^2 = R^2(d\theta^2 + \sin^2\theta d\phi^2) \equiv R^2 d\Omega_{(2)}^2 \quad (2.7)$$

or directly from (2.5) .

$$x = R \cos\phi \sin\theta, \quad y = R \sin\phi \sin\theta, \quad z = R \cos\theta.$$

• Hyperbolic space  $H^2$  ( $K < 0$ ). found by embedding in 3D space with

$$x^2 + y^2 - w^2 = -R^2$$

with metric

$$dl^2 = dx^2 + dy^2 - dw^2 = \frac{dr^2}{1+r^2/R^2} + r^2 d\phi^2,$$

with  $K = -\frac{1}{R^2}$  and  $r \geq 0$ .

$$\text{Circum}(S^1) = 2\pi r$$

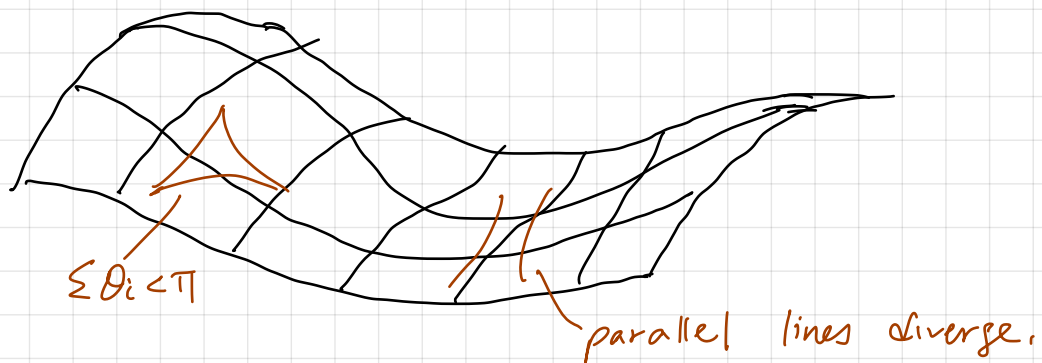
$$\text{Radius}(S^1) = \int_0^r \frac{dr'}{\sqrt{1+r'^2/R^2}} = R \sinh^{-1}\left(\frac{r}{R}\right) < r.$$

$$\text{Radial coord } \chi = \frac{1}{R} \int \frac{dr'}{\sqrt{1+Kr'^2}} = \sinh^{-1}\left(\frac{r}{R}\right).$$

We find the metric

$$dl^2 = R^2(dx^2 + \sinh^2 x d\phi^2) \quad (2.9)$$

Best represented with a saddle.



## Three-space $S^3$ metric

Embed  $S^3$  in  $\mathbb{R}^4$   $x^2 + y^2 + z^2 + w^2 = R^2$  with  $r^2 = x^2 + y^2 + z^2$  and metric becomes

$$\begin{aligned} dl^2 &= dx^2 + dy^2 + dz^2 + dw^2 \\ &= dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2) + \frac{r^2 dr^2}{R^2 - r^2} \\ &= \frac{dr^2}{1 - Kr^2} + r^2(d\theta^2 + \sin^2\theta d\phi^2) \end{aligned} \quad (2.10)$$

Area ( $S^2$ ) =  $4\pi r^2$ , Radius ( $S^2$ ) =  $R \sin^{-1}\left(\frac{r}{R}\right)$  with angular coord

$$d\chi = \frac{1}{R} \frac{dr}{\sqrt{1 - Kr^2}} \quad (2.11)$$

where  $\chi = \sin^{-1}\left(\frac{r}{R}\right)$

## Constant curvature 3-metrics

$$dl^2 = \frac{dr^2}{1 - Kr^2} + r^2(d\theta^2 + \sin^2\theta d\phi^2) \quad (2.12)$$

with

$$K = \begin{cases} \frac{1}{R^2} & S^3 \text{ (closed)} \\ 0 & R^3 \text{ (flat)} \\ -\frac{1}{R^2} & H^3 \text{ (open)} \end{cases} \quad (2.13)$$

Using the def<sup>n</sup>  $d\chi^2 = \frac{1}{R^2} \frac{dr^2}{1 - Kr^2}$ , we find

$$dl^2 = R^2(d\chi^2 + f^2(\chi)(d\theta^2 + \sin^2\theta d\phi^2))$$

with

$$f(\chi) = \begin{cases} \sin \chi & 0 \leq \chi \leq \pi & S^3 \\ \chi & 0 \leq \chi < \infty & R^3 \\ \sinh \chi & 0 \leq \chi < \infty & H^3 \end{cases} \quad (2.14)$$

## 2.3 Friedmann - Lemaitre - Robertson - Walker (FLRW) spacetimes

Now generalise Mink (2.1) to describe expanding spaces of const. curvature (2.12)

$$ds^2 = -c^2 dt^2 + a^2(t) \left( \frac{dr^2}{1-kr^2} + r^2(d\theta^2 + \sin^2\theta d\phi^2) \right) \quad (2.15)$$

with

$$k = \begin{cases} 1/R_0^2 & \cdot S^3 \\ 0 & \cdot R^3 \\ -1/R_0^2 & \cdot H^3 \end{cases} \quad (2.16)$$

where  $r$  is the comoving radial coord and  $k$  is the comoving curvature with  $K = k/a^2$ . and  $R(t) = a(t) R_0$ .

The proper radial distance  $dl^2$  between two points separated by coord. distance  $r$  ( $d\theta = d\phi = 0$ )

$$dl = a(t) \int_0^r \frac{dr'}{\sqrt{1-kr'^2}} = a(t) \begin{cases} R_0 \sin^{-1}(r/R_0) & , k > 0 \\ r & k = 0 \\ R_0 \sinh^{-1}(r/R_0) & k < 0 \end{cases} \quad (2.17)$$

Note: • Metric (2.15) is invariant under rescaling  $a \rightarrow \lambda a$ ,  
 $r \mapsto r/\lambda$ ,  $R_0 \mapsto R_0/\lambda$ .

- For  $k \neq 0$ , we can set  $k = \pm 1$  (common convention for FLRW metrics) but can't choose  $a(t_0) = 1$  with a physical length today.
- Metric (2.15) is not invariant under Lorentz boosts, unlike (2.1) because there is a preferred rest-frame. (ie. we can measure motion relative to CMB).

### Conformal structure

Often convenient to use conformal time defined by

$$d\tau = dt/a(t),$$

then

$$\tau = \int_0^t \frac{dt'}{a(t')} \quad (2.19)$$

Time derivatives  $\dot{a} = \frac{da}{dt}$ ,  $a' = \frac{da}{d\tau}$ .

Together with rescaled  $\chi$  variable from (2.14), we have elegant form

$$ds^2 = a^2(\tau) \left( -c^2 d\tau^2 + d\chi^2 + f^2(\chi) (d\theta^2 + \sin^2\theta d\phi^2) \right) \quad (2.20)$$

where

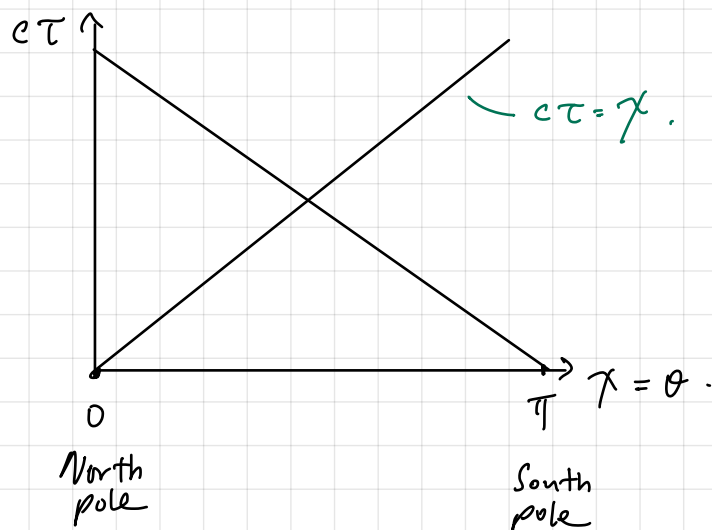
$$f(\chi) = \begin{cases} \sin \chi & S^3 \\ \chi & R^3 \\ \sinh \chi & H^3 \end{cases}$$

(Here  $R_0 = 1$ ,  $a(t_0) \neq 1$ )

Light propagates along null paths  $ds^2 = 0$ , i.e. radial  
 $c d\tau = \pm d\chi$  ( $d\theta = d\phi = 0$ ) with  $45^\circ$  trajectory

$$\tau = \pm \chi + \chi_i \quad \leftarrow \text{const.} \quad (2.21)$$

Take  $S^3$ .



## Cosmological Horizons

Radial light rays in (2.15) obey

$$c dt = a(t) \frac{dr}{\sqrt{1-kr^2}},$$

and so since the Big Bang, light has travelled comoving distance

$$\int_0^r \frac{dr'}{\sqrt{1-kr'^2}} = \int_0^t \frac{c dt'}{a(t')}$$

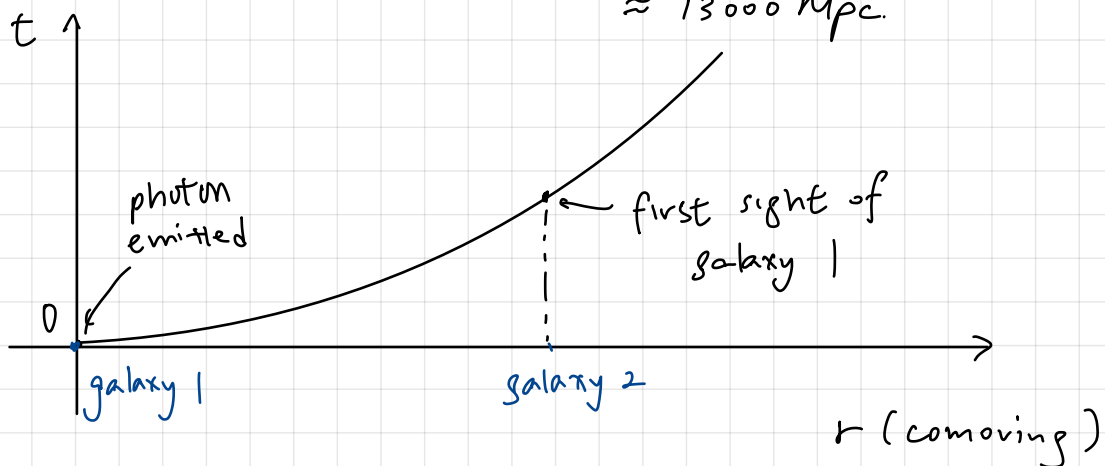
or physical distance

$$d_H(t) = a(t) \int_0^t \frac{c dt'}{a(t')} \quad (1.22)$$

Today  $t=t_0$ , this finite distance  $d_H(t_0)$  is the max. distance light can travel, or cosmological horizon.

For EdS (1.30)  $a(t) = (t/t_0)^{2/3}$ , gives the radius of the observable universe

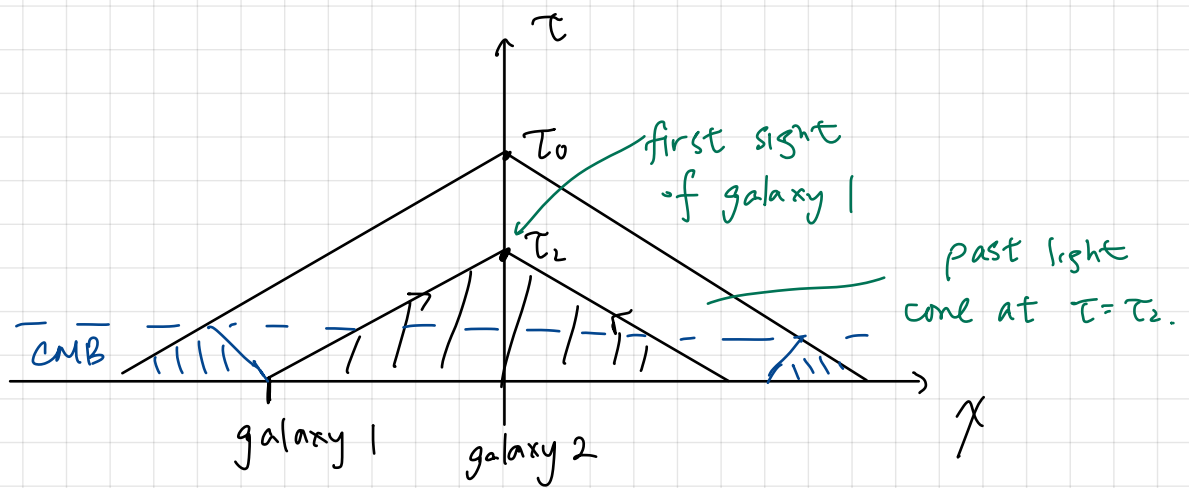
$$\begin{aligned} d_H(t) &= c \left(\frac{t}{t_0}\right)^{2/3} \cdot 3 t^{1/3} t_0^{2/3} = 3ct. \\ &= 3 \times (3 \times 10^8) \times (4.4 \times 10^7) \\ &= 4 \times 10^{26} \text{ m} \\ &\approx 13000 \text{ Mpc.} \end{aligned}$$



Better in conformal metric (2.20)

$$d_H(\tau) = c a(\tau) \tau$$

with  $c\tau$  is the comoving horizon.



Horizon Problem There are  $10^5$  causally disconnected regions  $d_H(t_{dec})$  which have same temp.  $T$  to one part in 100000. why?

## 2.4 FLRW Spacetime Dynamics

Matter content: The energy + momentum tensor  $T_{\mu\nu}$  of a perfect fluid is  $T^{\mu}_{\nu} = \text{diag}(\rho, P, P, P)$

$$T_{\mu\nu} = (\rho + P) u_{\mu} u_{\nu} + P g_{\mu\nu} \quad (2.23)$$

where the fluid 4-vel:  $u^{\mu} = (1, 0, 0, 0)$  in the comoving (rest) frame. The conservation law

$$\nabla^{\mu} T_{\mu\nu} = 0 \quad (*)$$

and (1.29)  $\dot{\rho} = -3 \frac{\dot{a}}{a} (\rho + P)$ .

We envisage several components  $\rho = \sum_i \rho_i$  with different EDs.

(1.27)  $P_i = w_i \rho_i$  with each  $\rho_i$  satisfying (1.28)  $\rho_i = \rho_{i0} a^{-3(1+w_i)}$ .

Exercise Using the density parameter (1.22)

$$\Omega_i = \rho_i / \rho_{crit} = \frac{3\pi G}{3c^2} \cdot \frac{1}{H^2} \rho_i$$

show

$$\frac{8\pi G}{3c^2} \sum_i \rho_i = H_0^2 \sum_i \frac{\Omega_{i0}}{a^{3(1+w_i)}} \quad (2.24)$$

where  $\Omega_{i0} = \Omega_i(t_0)$  today.

\*Einstein Equation\*: Non-zero curvature tensor components for FLRW metric (2.15) are

$$R_{00} = -3 \frac{\ddot{a}}{a}, \quad R_{ij} = g_{ij} \left( \frac{\ddot{a}}{a} + 2 \left( \frac{\dot{a}}{a} \right)^2 + \frac{2k^2 c^2}{a^2} \right) \quad (†)$$

Exercise Evaluate (†) and (\*). from (2.15). Equating with (2.23) in Einstein eqns (2.2), yields (1.32)

$$\frac{\ddot{a}}{a} = - \frac{4\pi G}{3c^2} (\rho + 3P)$$

and (1.20)

$$\left( \frac{\dot{a}}{a} \right)^2 + \frac{kc^2}{a^2} = \frac{8\pi G}{3c^2} \rho$$

from (ij) =  $\frac{(\rho)}{3}$

## Singularity Theorem

In an expanding universe ( $H > 0$ ) with matter satisfying the strong energy condition

$$\rho + 3P \geq 0 \quad (2.25)$$

there must have been a singularity ( $a \rightarrow 0, \rho \rightarrow \infty$ ) at a finite time  $t$  in the past, with  $|t_0 - t| \leq H_0^{-1}$ .

Pf: We can rewrite accel eqn (1.32) as (1.33)

$$\frac{\ddot{a}}{a} = \dot{H} + H^2 = - \frac{4\pi G}{3c^2} (\rho + 3P) \leq 0.$$

by (2.25), then

$$\frac{\dot{H}}{H^2} \leq -1, \quad \text{or} \quad - \frac{d}{dt} \left( \frac{1}{H} \right) \leq 1$$

Integrate from  $t$  to  $t_0$

$$-\frac{1}{H_0} + \frac{1}{H} \leq -t_0 + t \Rightarrow H^{-1} \leq H_0^{-1} + t - t_0$$

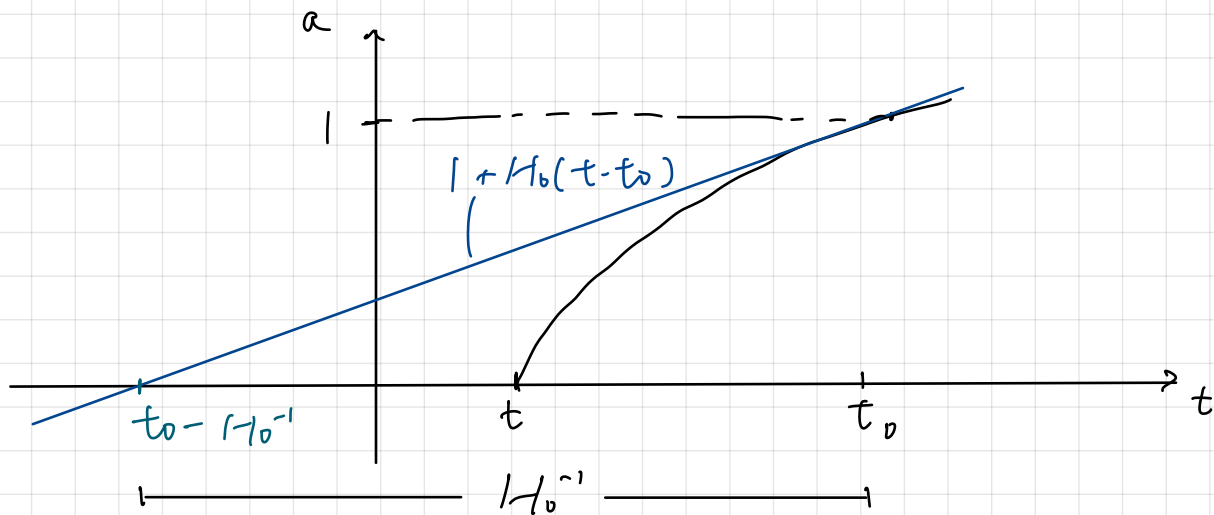
Inverting  $H = \frac{\dot{a}}{a} = \frac{1}{H_0^{-1} + t - t_0}$ .

Integrate again,  $a_0 = 1$

$$\log(a_0) - \log(a) = \ln(H_0^{-1}) - \ln(H_0^{-1} + t - t_0)$$

Hence

$$a(t) \leq 1 + H_0(t - t_0) \quad (2.26)$$



Since  $a$  is bounded above, must have  $a \rightarrow 0$  for  $t_0 - H_0^{-1} \leq t \leq t_0$ . This is a physical singularity in both space and time, where  $\rho \rightarrow \infty$  and physical laws break down — the singularity problems.

## 2.5 Cosmological solutions

• NR matter model ( $P=0, k=0$ )

See EDS (1.29)  $\rho_m = \rho_{m0} / a^3$ , (1.30)  $a(t) = (t/t_0)^{2/3}$

• Radiation model ( $P=p/3, k=0$ )

Hot real gas  $E = c^2 \sqrt{m^2 c^2 + p^2} \approx pc$  for  $p \gg m$

(or  $m=0, E = h\nu_{\text{photons}}$ )

Continuity (1.25)

$$\Rightarrow \rho_R = \rho_{R0} / a^4 \quad (2.27)$$

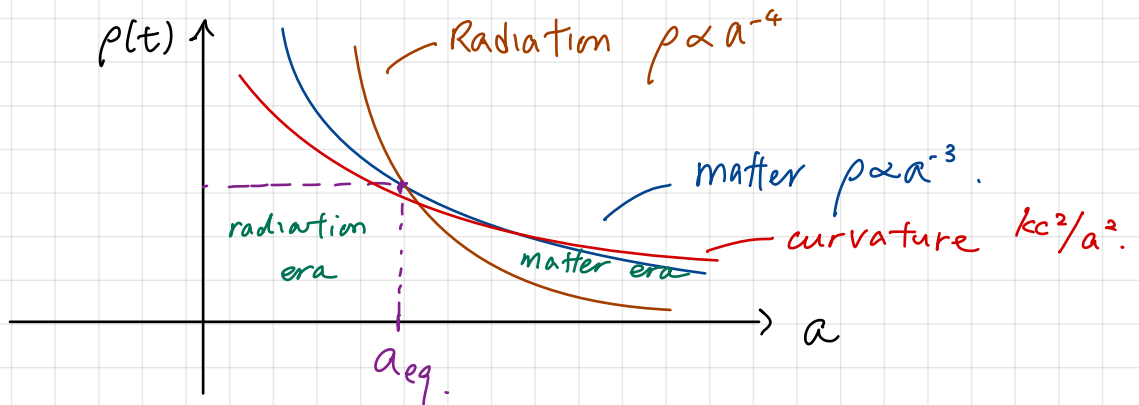
Friedmann (1.20)

$$\Rightarrow a \dot{a} = \sqrt{\frac{8\pi G}{3c^2} \rho_{R_0}}$$

$$\Rightarrow a(t) = (t/t_0)^{1/2} \quad (2.28)$$

with  $H = \frac{1}{2t}$  and

$$\rho_R = \frac{3c^2}{32\pi G t^2} \quad (2.29)$$



### Matter - radiation Transition

Our universe has both NR matter  $\rho_{M_0}$  and radiation  $\rho_{R_0}$ .

today with  $\rho_{M_0} \gg \rho_{R_0}$  ( $\sim 3000 \times$ ).

$$\rho = \frac{\rho_{R_0}}{a^4} + \frac{\rho_{M_0}}{a^3} \quad (2.30)$$

Equal density at  $a_{eq} = \rho_{R_0}/\rho_{M_0} = \Omega_{R_0}/\Omega_{M_0}$ , where

$$\Omega_i = \frac{\rho_i}{\rho_{crit}} = \frac{8\pi G}{3c^2} \frac{\rho_i}{H^2}$$

Friedmann (1.20)

$$\Rightarrow \left(\frac{\dot{a}}{a}\right)^2 = H_0^2 \left( \frac{\Omega_{R_0}}{a^4} + \frac{\Omega_{M_0}}{a^3} \right) \quad (2.31)$$

with conformal time  $\frac{dt}{a} = d\tau$ ,  $\left(\frac{\dot{a}}{a}\right)^2 = \frac{a'^2}{a^4}$

$$\Rightarrow a' = \alpha \sqrt{a_{eq} + a}$$

with  $\alpha = H_0 \sqrt{\Omega_{M_0}}$

Integrate  $(0,0) \rightarrow (\alpha, \tau)$

$$\Rightarrow 2\sqrt{a_{eq} + a} - \sqrt{a_{eq}} = \alpha \tau$$

$$\Rightarrow a(\tau) = \frac{\alpha^2}{4} \tau^2 + \alpha a_{eq} \tau \quad (2.32)$$

Integrating,

$$t(\tau) = \frac{\alpha^2}{12} \tau^3 + \frac{\alpha}{2} a_{eq} \tau^2 \quad (2.33)$$

Exercise As  $t \rightarrow 0$ , show rad sol<sup>n</sup> (2.28), and conversely  $t \rightarrow t_{eq}$  matter sol<sup>n</sup> (1.30).

Possible Worlds: open, closed and flat universes

With NR matter ( $P \approx 0$ ) and curvature ( $k \neq 0$ ), (1.20)

becomes

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3c^2} \frac{\rho_M}{a^3} - \frac{kc^2}{a^2} = \frac{H_0^2 \Omega_{M0}}{a^3} - \frac{kc^2}{a^2}$$

There is an equal contribution from  $k$  when

$$a_k = a(t_k) = \frac{H_0^2 \Omega_{M0}}{kc^2} = \frac{\Omega_{M0}}{\Omega_{k0}}$$

with eff. curvature parameter  $\Omega_{k0} = -kc^2/H_0^2$  and curvature dominates when  $t \gtrsim t_k$ .

Key ratio  $\beta = \frac{1}{|\Omega_{k0}|^{1/2}} = \frac{H_0 R_0}{c} = \frac{R_0}{c/H_0}$

← curvature radius  
← Hubble radius

In conformal time (2.18)  $\dot{a} = \frac{dt}{\sqrt{c}} a' = \frac{a'}{a} \equiv \mathcal{N}$

$$\ddot{a} = \frac{d\tau}{dt} \frac{d}{d\tau} \left( \frac{a'}{a} \right) = \frac{1}{a} \mathcal{N}' \quad (2.34)$$

and accel eqn (1.32),

$$\mathcal{N}' = -\frac{4\pi G}{3c^2} \rho a^2 = \frac{H_0^2 \Omega_{M0}}{2a} \quad (2.35)$$

and Friedmann (1.20),

$$\chi^2 + kc^2 = \frac{8\pi G}{3c^2} \rho a^2 \quad (2.36)$$

$$\Rightarrow 2\chi' + \chi^2 + kc^2 = 0. \quad (2.37)$$

with sol<sup>n</sup>

$$\chi = \begin{cases} \frac{c}{R_0} \cos\left(\frac{c\tau}{2R_0}\right) & k > 0 \\ \frac{c}{R_0} \cosh\left(\frac{c\tau}{2R_0}\right) & k < 0 \end{cases}$$

Now find  $\chi'$ , then  $a(\tau)$  from (2.35)

$$a(\tau) = \begin{cases} \frac{1}{2}(\beta^2 + 1)(1 - \cos(c\tau/R_0)) & k > 0 \\ \frac{1}{2}(\beta^2 - 1)(\cosh(c\tau/R_0) - 1) & k < 0 \end{cases} \quad (2.38)$$

Integrating  $dt = a d\tau$ ,

$$t(\tau) = \begin{cases} \frac{1}{2}(\beta^2 + 1) \left( \tau - \frac{R_0}{c} \sin(c\tau/R_0) \right) & k > 0 \\ \frac{1}{2}(\beta^2 - 1) \left( \frac{R_0}{c} \sinh(c\tau/R_0) - \tau \right) & k < 0 \end{cases} \quad (2.39)$$

Exercise Check as  $\tau \rightarrow 0$ ,  $t \sim \tau^3$ ,  $a \sim \tau^2 \sim t^{2/3}$ , i.e. EdS (1.30).

## Fate of Universe

Depends on  $k$  with

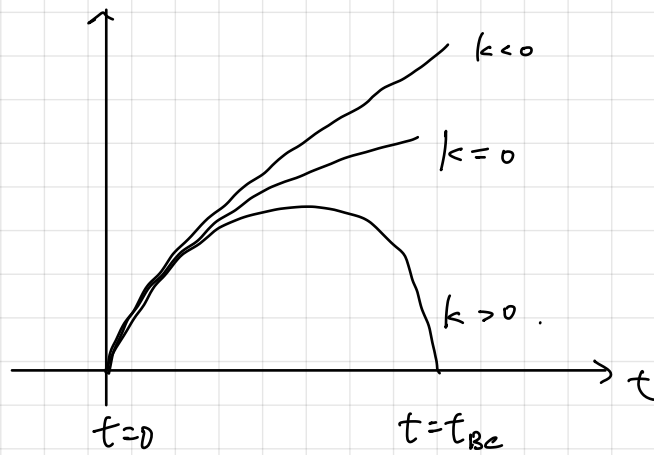
•  $S^3$  ( $k > 0$ ) then big Crunch at  $\tau = 2\pi R_0/c$ . with

$$t_{BC} = \pi R_0 (\beta^2 + 1) = \pi R_0 \left( \frac{H_0^2 R_0^2}{c^2} + 1 \right).$$

If  $R_0 \geq 2H_0^{-1}$ , then  $t_{BC} \geq 40$  billion years.

•  $H^3$  ( $k < 0$ ), faster expansion in a cold empty universe ( $a \propto t$ ).

•  $R^3$  ( $k = 0$ ) EdS (critical) sol<sup>n</sup> lying in between.



Flatness problem. ( $\Omega = \Omega_M$ )

Rewriting conformal Fried eqn (2.36),

$$\chi^2 + kc^2 = \frac{8\pi G}{3c^2} \rho a^2$$

and compare with

$$\chi^2 = \frac{8\pi G}{3c^2} \rho_{crit} a^2 \quad (*)$$

$$\Rightarrow \Omega = \rho/\rho_{crit} = \frac{\chi^2 + kc^2}{\chi^2} \Rightarrow \Omega - 1 = \frac{kc^2}{\chi^2} \quad (**)$$

Diff to get

$$\Omega' = \frac{-2kc^2\chi'}{\chi^3} = \chi \left( -\frac{2\chi'}{\chi^2} \right) \left( \frac{kc^2}{\chi^2} \right)$$

$= \Omega$  by (\*) and (2.35)
 $= \Omega - 1$  by (\*\*)

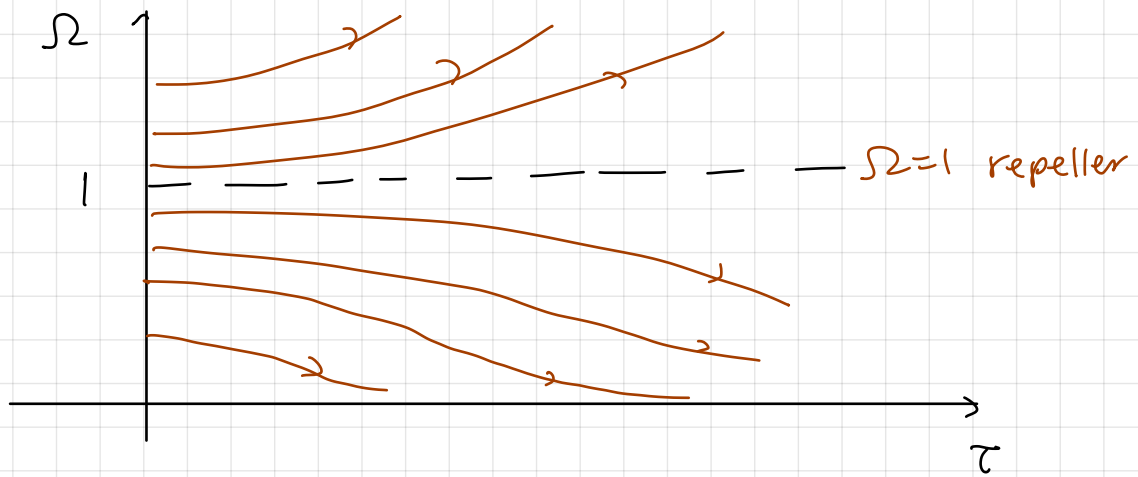
So

$$\Omega' = \Omega (\Omega - 1) \quad (2.40)$$

In expanding universe ( $\chi > 0$ ), we are always driven away from  $\Omega = 1$  ( $k=0$ , flat universe)

E.g.  $\Omega > 1 \Rightarrow \Omega' > 0$ , so  $\Omega$  increases

$\Omega < 1 \Rightarrow \Omega' < 0$ , so  $\Omega$  decreases.



Exercise Solve (2.40) to find

$$\Omega - 1 = (\Omega_0 - 1) \frac{1}{1 - \Omega_0 + \Omega_0(1+z)}$$

$$\approx \frac{\Omega_0 - 1}{\Omega_0(1+z)} \quad \text{as } t \rightarrow 0$$

Radiation  $(\Omega - 1) \propto \frac{1}{(1+z)^2}$

Project back to  $t = \frac{1}{100} s$  and thus represents huge fine-tuning  $|\Omega_{eq} - 1| = |\Omega_0 - 1| / (1 + z_{eq} \Omega_0) \approx 10^{-5}$

Radiation  $|\Omega(1/100) - 1| \approx \left(\frac{1+z}{1+z_{eq}}\right)^2 |\Omega_{eq} - 1| \leq 10^{-18}$ .

This is called the flatness problem.

### Models with a Cosmological constant

Consider the isotropic force laws (1.35) with  $\Lambda$  (also arises naturally in GR), which acts as a uniform field with EoS

$P = -\rho$ , or  $w = -1$  from (1.27) and the energy density

$\rho_\Lambda = \frac{\Lambda c^4}{8\pi G}$  const. Friedmann eqn becomes (1.36)

$$\left(\frac{\dot{a}}{a}\right)^2 + \frac{kc^2}{a^2} = \frac{8\pi G}{3c^2} \rho + \frac{1}{3} \Lambda c^2. \quad (2.42)$$

and accel. eqn

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3c^2} (\rho + 3P) + \frac{1}{3} \Lambda c^2 \quad (2.43)$$

where  $[\Lambda] = L^{-2}$ .

Vacuum sol<sup>n</sup> (de Sitter)

Empty universe  $\rho = 0$ , with  $\Lambda > 0$ , then (2.42) has sol<sup>n</sup>

$$a(t) = \begin{cases} \propto \cosh \left[ \sqrt{\frac{\Lambda}{3}} c (t - t_0) + \beta \right] & k > 0 \\ \exp \left( \sqrt{\frac{\Lambda}{3}} c (t - t_0) \right) & k = 0 \\ \propto \sinh \left[ \sqrt{\frac{\Lambda}{3}} c (t - t_0) + \beta \right] & k < 0 \end{cases} \quad (2.43)$$

where  $\alpha^2 = \frac{3|k|}{\Lambda} \approx 3 \left( \frac{R_\Lambda}{R_0} \right)^2$  and  $\beta = \coth(1 - \alpha^2)$   
← curvature radius  
"Λ scale"

Simplest case ( $k=0$ ) has  $a(t_0) = 1$  and

$$H = \sqrt{\frac{\Lambda}{3}} c \equiv H_0, \text{ const.}$$

As  $t \rightarrow \infty$ , for all  $k$ ,

$$a(t) \approx e^{H_0 t}$$

These exhibit a comoving event horizon, i.e. how far a photon can move in the future).

Recall (2.33),

$$d_E(t) = \int_{t_0}^{\infty} \frac{dt'}{a(t')} = c \int_t^{\infty} e^{-H_0(t-t')} dt' = c H_0^{-1} \quad (2.45)$$

comoving

i.e. a finite comoving distance, so we can only have causal

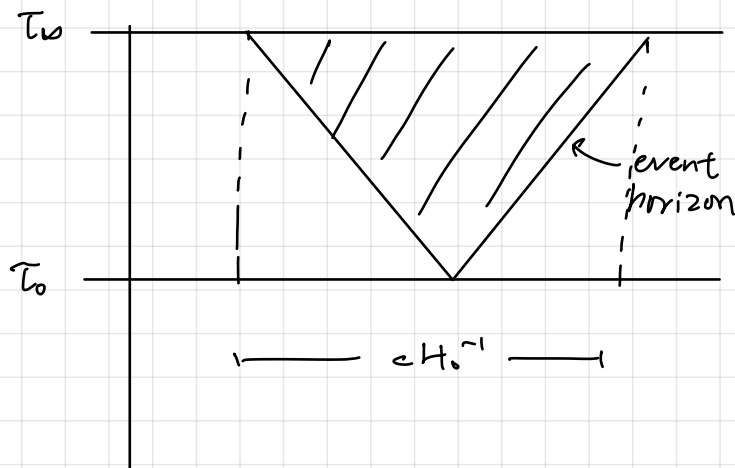
contact with galaxies within Hubble radius  $cH_0^{-1}$ .

To the past, we "avoid" a big bang erasing (2.26) by violating SEC (2.25). Conformal time gives

$$\tau = \int_{t_0}^t \frac{dt'}{a(t')} = H_0^{-1} (1 - e^{-H_0(t-t_0)}) \quad (2.46)$$

so  $t=t_0 \Rightarrow \tau=0$  and  $t \rightarrow \infty \Rightarrow \tau \rightarrow H_0^{-1}$ ,

Reveals casual structure with light rays  $\uparrow = c\tau$

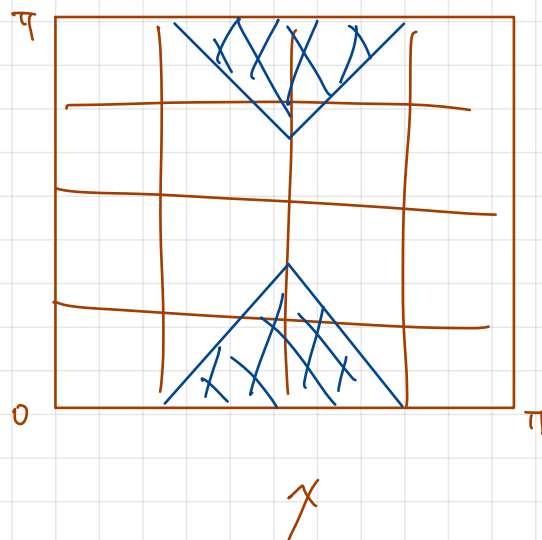


Exercise For  $k > 0$  in (2.44), show that

$$\tau = 2H_0^{-1} \tan^{-1} \left( \tanh\left(\frac{1}{2}\sqrt{\frac{\Lambda}{3}} ct\right) \right) \quad (\text{ignore } \alpha, \beta)$$

with  $t \rightarrow -\infty \Rightarrow \tau = 0$ ,  $t \rightarrow +\infty, \tau = \pi$

Hence show both cosmological and event horizon (in Penrose diag.)



## Einstein - static Universe

Einstein introduced  $\Lambda > 0$  (anti-gravity) counteract the collapse of NR matter  $\rho_m$  due to gravity. Balancing forces in (1.35) or the accel. eqn (2.43), to make static ( $H=0$ ) sol<sup>n</sup>, requires

$$\Lambda = \frac{4\pi G}{c^4} \rho_{M_0} > 0.$$

Sub into Fried. eqn (2.42) implies at  $t=t_0$ ,  $a_0=1$ ,

$$\ddot{a}^2 = \frac{8\pi G}{3c^2} \rho + \frac{1}{3} \Lambda c^2 - kc^2 = (\Lambda - k)c^2 = 0.$$

If  $\Lambda = k > 0$ , (closed universe)

Lemaitre showed this only achieves unstable equilibrium.

## 3. The Accelerating Universe

### 3.1 The standard Concordance Model ( $\Lambda$ CDM)

The effect of  $\Lambda$  in (2.42), (2.43) completes the present picture of our universe. Using the def<sup>n</sup> of density parameter,

$$\Omega_{i0} = \frac{8\pi G}{3c^2} \frac{\rho_{i0}}{H_0^2}$$

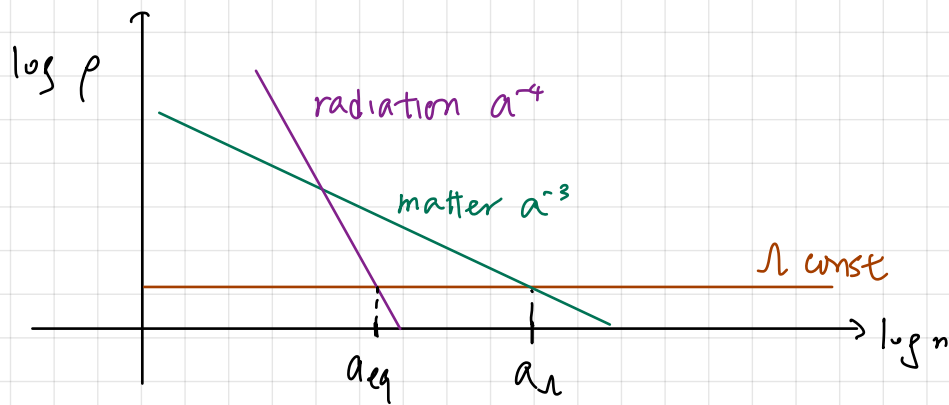
$$\text{with } \Omega_{\Lambda_0} \equiv \frac{\Lambda c^2}{3H_0^2}, \quad \Omega_{k0} = \frac{-kc^2}{H_0^2}.$$

Friedmann (2.42) becomes

$$\left(\frac{\dot{a}}{a}\right)^2 = H^2 = H_0^2 \left( \frac{\Omega_{M_0}}{a^3} + \frac{\Omega_{R_0}}{a^4} + \Omega_{\Lambda_0} + \frac{\Omega_{k_0}}{a^2} \right) \quad (3.1)$$

Accel (2.43) become

$$\frac{\ddot{a}}{a} = -\frac{1}{2} H_0^2 \left( \frac{\Omega_{M_0}}{a^3} + \frac{2\Omega_{R_0}}{a^4} - 2\Omega_{\Lambda_0} \right) \quad (3.2)$$



Continuity (1.25) unchanged.

### Energy budget of our universe

Simplest FLRW model consistent with observations

$$H_0 = 674 (\pm 0.5) \text{ km s}^{-1} \text{ Mpc}^{-1}$$

$$\Omega_{\Lambda_0} = 0.689 (\pm 0.006) \text{ const. (dark energy)}$$

$$\Omega_{\text{CDM}} = 0.264 (\pm 0.006) \text{ cold dark matter,}$$

$$\Omega_{\text{B}_0} = 0.049 (\pm 0.001) \text{ Baryons (ordinary matter),}$$

$$\Rightarrow \text{NR total: } \Omega_{\text{M}_0} = 0.315 (\pm 0.007) \quad (3.3)$$

$$\Omega_{\gamma_0} = 5.0 \times 10^{-5} \text{ photons}$$

$$\Omega_{\nu_0} = 3.4 \times 10^{-5} \text{ neutrinos}$$

$$\Rightarrow \text{Radiation total: } \Omega_{\text{R}_0} = 8.4 \times 10^{-5} \text{ (negligible today).}$$

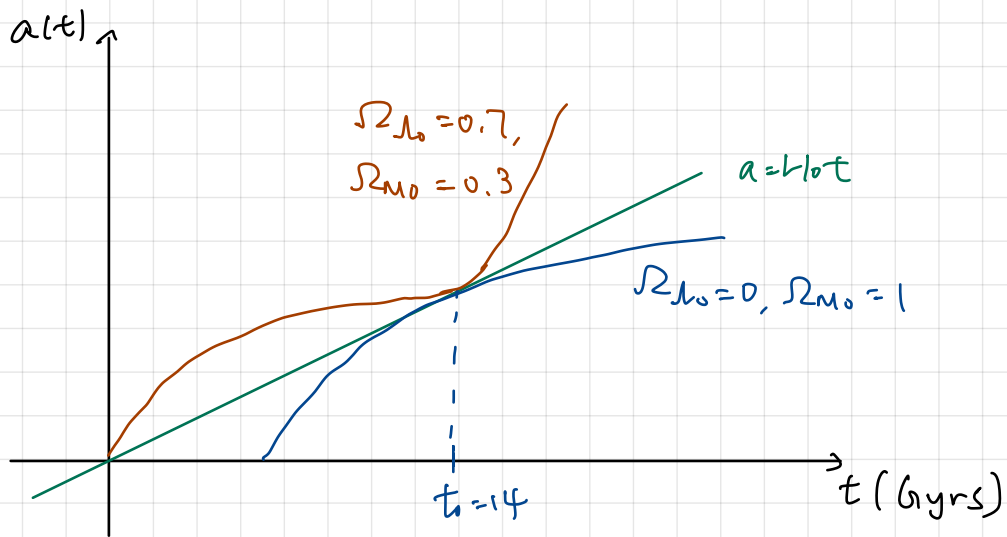
$$\Omega_{k_0} = 0.001 (\pm 0.002) \text{ Curvature (spatially flat to 0.02\%)}$$

### Matter - dark energy sol<sup>n</sup>

With  $\Omega_{\text{R}_0} \ll \Omega_{\Lambda_0}, \Omega_{\text{M}_0}$ . Consider a flat  $k \approx 0$  model filled with  $\Lambda$  and NR matter, i.e.  $\Omega_{\Lambda_0} + \Omega_{\text{M}_0} = 1$ . In Ex 2 #3, find the sol<sup>n</sup> to (3.1), (3.2) (use  $b = a^{3/2}$  unnormalised)

$$a(t) = \left( \frac{\Omega_{\text{M}_0}}{\Omega_{\Lambda_0}} \right)^{1/3} \sinh^{2/3} \left( t/t_{\Lambda} \right) \quad (3.4)$$

As  $t \rightarrow 0$ , EdS (1.30)  $a \propto t^{2/3}$ . As  $t \rightarrow \infty$ , JS (2.44)  $a \propto e^{Ht}$ ,  
 $H$  const



Age of universe

$$H_0 = \left. \frac{\dot{a}}{a} \right|_{t=t_0} = \frac{2}{3t_{\Lambda}} \frac{1}{\tanh(t_0/t_{\Lambda_0})}$$

$$\Rightarrow t_0 = t_{\Lambda} \tanh^{-1}(\sqrt{\Omega_{\Lambda_0}})$$

$$\approx 0.955 H_0^{-1} \approx 1379 (\pm 0.02) \text{ billion years}$$

So acceleration today solves time timescale problem. Universe transitions to accel  $\dot{a} \approx 0$  at  $t = 7.6 \text{ Gyrs}$

### 3.2 Evidence of Dark Energy

Luminosity distance  $d_L$

Measured flux or apparent luminosity

$$F = \frac{L}{4\pi d_L^2} \quad (3.5)$$

where  $L$  is the absolute luminosity (e.g. Sun  $3.8 \times 10^{26} \text{ W}$ )  
 and  $d_L$  is the luminosity distance. This is modified by  
 expansion at redshift  $1+z = 1/a(t)$  ( $a_0=1$ )

$$F = \left( \frac{\text{abs. lum}}{L} \right) \cdot \left( \frac{\text{SA of sphere}}{4\pi a_0^2 r^2} \right) \cdot \left( \frac{\text{freq red shift}}{\nu \mapsto \frac{\nu}{1+z}} \right) \left( \frac{\text{time dilation}}{dt \mapsto (1+z)dt} \right)^{-1}$$

Power =  $\frac{\Delta E}{\Delta t}$

$$\Rightarrow F = \frac{L}{4\pi r^2 (1+z)^2}$$

Hence,

$$d_L = \left( \frac{L}{4\pi F} \right)^{1/2} = (1+z)r \quad (3.6)$$

### Deceleration parameter

Derivations from Hubble's law (1.1) can distinguish expansion rates using measurable quantities  $z$  and  $d_L$ .

Taylor expand  $a(t)$  today ( $t=t_0$ )

$$a(t) = a(t_0) + \dot{a}(t_0)(t-t_0) + \frac{1}{2}\ddot{a}(t_0)(t-t_0)^2 + \dots$$

$\ddot{a}(t_0) = -q_0 H_0^2 a(t_0)$

So

$$\frac{1}{1+z} = 1 + H_0(t-t_0) - \frac{1}{2}q_0 H_0^2 (t-t_0)^2 \quad (3.7)$$

where

$$q = \frac{-\ddot{a}(t)a(t)}{\dot{a}(t)^2} \quad (3.8)$$

with  $q_0 = \ddot{a}(t_0)/H_0^2$  is the deceleration parameter.

When matter obeys SEC (2.25) by (3.2),  $q_0 \geq 0$ .

EdS  $\Omega_{M0} = 1$  has  $q_0 = 0.5$ .

Exercise Show  $\Lambda$ CDM (3.3) with  $\Omega_{M0} = 0.3$ ,  $\Omega_{\Lambda0} = 0.7$  by (3.2),  $q_0 \approx -0.54$ .

## Distance - redshift relation

We can invert (3.7) to find

$$1+z = 1 - H_0(t-t_0) + \left(1 + \frac{1}{2}q_0\right) H_0^2(t-t_0)^2 + \dots \quad (3.9)$$

(Refer to notes)

In a time  $t \rightarrow t_0$ , light travels a distance given by (2.22)

(k=0)

$$\begin{aligned} r &= c \int_t^{t_0} \frac{dt'}{a(t')} = c \int dt' [1 - H_0(t-t_0) + \dots] \\ &= c(t_0 - t) + \frac{cH_0^2}{2} (t-t_0)^2 + \dots \end{aligned} \quad (3.10)$$

Now revert (3.9) to find

$$t_0 - t = \frac{z}{H_0} \left(1 - \left(1 + \frac{1}{2}q_0 z\right) + \dots\right)$$

and substituting yields

$$r = \frac{cz}{H_0} \left(1 - \frac{1}{2}(1+q_0)z + \dots\right)$$

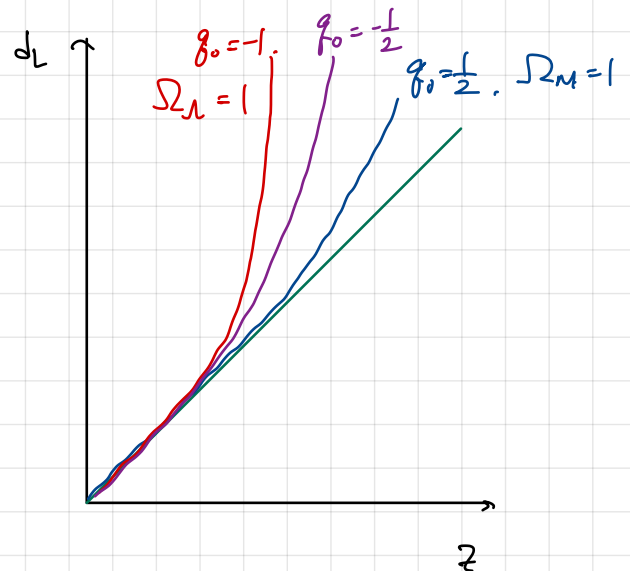
Hence, with lum dist. (3.6) we obtain the measurable

Hubble law

$$d_L = (1+z)r = \frac{cz}{H_0} \left(1 + (1-q_0)z + \dots\right) \quad (3.10)$$

In 1998, astronomers using SNIa measurements found  $q_0 \approx -0.6 \pm 0.2 < 0$

in the Hubble diagram, i.e. the universe is accelerating



### 3.3 Evidence of dark matter

Estimating the matter density  $\Omega_M$  is challenging since not all matter are visible (in stars)

Two step process:

- (i) Estimate the galaxy mass with velocities and relate to luminosity of the galaxy (mass-to-light ratio  $M/L$ )
- (ii) Integrate  $M/L$  over the observed galaxy luminosity  $f'' \phi(L)$  to find mass density  $\rho_M c^2$ .

### The Virial Theorem

A bound grav. system of massive particles in equilibrium obeys the relation

$$2\langle T \rangle = -\langle V \rangle \quad (3.11)$$

where  $\langle T \rangle$  is the time-avg total KE and  $\langle V \rangle$  of the grav. PE.

Example Circular orbital motion. Taking  $m \ll M$ ,  $F_{\text{grav}} = \frac{GMm}{r^2} = \frac{mv^2}{r}$

$\Rightarrow \frac{1}{2}mv^2 = \frac{1}{2}\frac{GMm}{r}$ , i.e.  $T = -\frac{1}{2}V$ . Estimate mass from  $M = v^2 r / G$ .

Pr: Consider  $\mathcal{E} = \sum_{i=1}^N \mathbf{p}_i \cdot \mathbf{r}_i$ ,  $\mathbf{p}_i = m \frac{d\mathbf{r}_i}{dt}$ . (Large  $N$ , equal mass  $m$ )

$$\frac{d\mathcal{E}}{dt} = \sum_{i=1}^N m \left( \dot{\mathbf{r}}_i \cdot \dot{\mathbf{r}}_i + \ddot{\mathbf{r}}_i \cdot \mathbf{r}_i \right)$$

$$= 2T + \sum_{i=1}^N \mathbf{F}_i \cdot \mathbf{r}_i$$

where  $\mathbf{F}_i = \sum_{i \neq j}^N \mathbf{f}_{ij} = \sum_{i \neq j}^N \frac{Gm(\mathbf{r}_j - \mathbf{r}_i)}{|\mathbf{r}_j - \mathbf{r}_i|^3}$  (\*), then

$$\sum_{i=1}^N \mathbf{F}_i \cdot \mathbf{r}_i = \sum_{i=1}^N \sum_{j < i}^N \mathbf{f}_{ij} \cdot \mathbf{r}_i + \sum_{i=1}^N \sum_{j > i}^N \mathbf{f}_{ij} \cdot \mathbf{r}_i$$

$$= \sum_{i=1}^N \sum_{j < i}^N f_{ij} \cdot \underline{r}_i + \sum_j \sum_{i < j} f_{ij} \cdot \underline{r}_i \quad (\text{swap } i \leftrightarrow j)$$

$$= \sum_{i=1}^N \sum_{j < i}^N f_{ij} \cdot \underline{r}_i - \sum_i \sum_{j < i} f_{ij} \cdot \underline{r}_j \quad (N3)$$

$$= \sum_i \sum_{j < i} f_{ij} \cdot (\underline{r}_i - \underline{r}_j)$$

$$= \sum_{i=1}^N \sum_{j < i} \frac{Gm^2}{|\underline{r}_i - \underline{r}_j|} \quad (\text{grav energy})$$

So  $\frac{dG}{dt} = 2T + V$  . fake time-avg .

$$\lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t \frac{dG}{dt} dt = \lim_{t \rightarrow \infty} \frac{G(t) - G(0)}{t} = 0$$

as  $G$  bounded. Hence,  $2\langle T \rangle_t = -\langle V \rangle_t$ .  $\square$

For large particle no., ergodic hypothesis allows time as  $\langle T \rangle_t$  to be replaced by instantaneous spatial as  $\langle T \rangle_r$ :

$$\lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t \frac{dG}{dt} dt \dots \longrightarrow \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{i=1}^N \dots \quad (3.13)$$

### Coma cluster mass

Zwicky estimated Coma mass  $M = Nm$  using  $N = 1000$  galaxies and their radial velocities  $\langle V_r^2 \rangle \approx (1000 \text{ km s}^{-1})^2$  from redshift.

i.e.  $\langle V^2 \rangle = 3 \langle V_r^2 \rangle$  (include transverse components), also

$\langle R \rangle \approx 1.5 \text{ Mpc} \approx 5 \times 10^{22} \text{ m}$ . From (3.11),

$$M_{\text{coma}} = \frac{2 \langle V^2 \rangle \langle R \rangle}{G} \approx 2 \times 10^{15} M_{\odot}$$

But Coma Luminosity is  $L \sim 8 \times 10^{12} L_{\odot}$ , so mass to light ratio

$M/L \approx 250$ . What is the unseen ("dark") matter?

Hot gas in Coma (from X-ray):  $M_{\text{gas}} = 2 \times 10^4 M_{\odot}$ , so  $M_{\text{coma}}$

is 90% DM.

## Galaxy Rotation curves

With mass density profile  $\rho(r)/c^2$ , we have

$$M(r) = \int_0^r \rho(r') 4\pi r'^2 dr'$$

Measure  $\langle v^2(r) \rangle$  for a mass shell  $m_s$  at  $r$ . From (3.11), implies

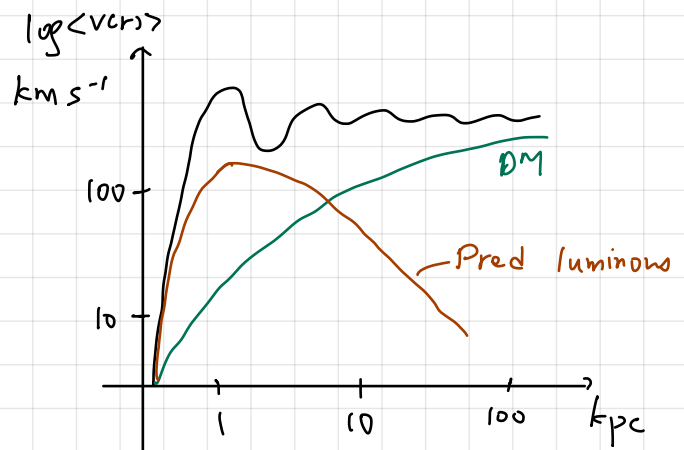
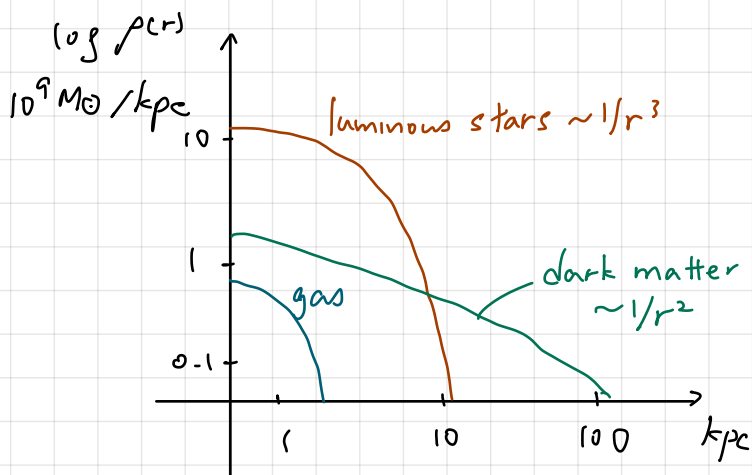
$$m_s \langle v^2 \rangle = \frac{GMm_s}{r}$$

So  $M(r) = r \langle v^2(r) \rangle / G$ , or profile

$$\rho(r) = \frac{1}{4\pi r^2} \frac{dM}{dr} = \frac{1}{4\pi r^2} \frac{d}{dr} (r \langle v^2 \rangle) \quad (3.14)$$

E.g.  $\rho(r) \sim \frac{1}{r^3} \Leftrightarrow \langle v^2 \rangle \sim \ln r / r$

$\rho(r) \sim \frac{1}{r^2} \Leftrightarrow \langle v^2 \rangle \sim \text{const.}$



Typically, galaxies have  $M_{DM} = 20 M_{\text{stars}}$ .

Accurate measures of  $\Omega_B + \Omega_{DM} = \Omega_M$  come from modelling the CMB (refer §4-5).

## 3.4 Inflationary Universe

Cosmic inflation is a brief period of accelerated (exponential) expansion in the very early universe  $a(t) \approx e^{Ht}$ ,  $H$  const, with de Sitter  $s_0^n$  (2.44). Inflation alleviates the horizon and flatness problems, while quantum fluctuations provide the seeds

for structure formation.

### Scalar field inflation

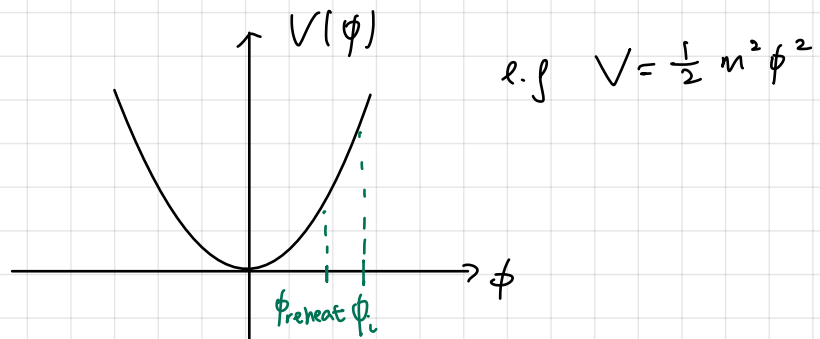
Inflation is usually driven by the (vacuum) potential energy  $V(\phi)$  of a scalar field  $\phi$ , called the inflaton. The Lagrangian density is

$$\mathcal{L} = \frac{1}{2c^2} \dot{\phi}^2 - \frac{1}{2} \nabla\phi \cdot \nabla\phi - V(\phi)$$

In an expanding FRW ( $k=0$ ) universe, we have matter action

$$S_\phi = \int dt \int d^3x \ a^3(t) \left[ \frac{1}{2c^2} \dot{\phi}^2 - \frac{1}{2} \nabla_x \phi \cdot \nabla_x \phi - V(\phi) \right] \quad (3.16)$$

using comoving coords  $d^3r = a^3(t) d^3x$ .



For a uniform field  $\phi(x,t) \equiv \phi(t)$ , variation  $\frac{\delta S_\phi}{\delta \phi}$  of the action (3.16) yields EoM

$$\ddot{\phi} + 3H\dot{\phi} + c^2 \frac{dV}{d\phi} = 0 \quad (3.17)$$

Exercise Perform  $\delta\phi$ -variation on (3.16). IBP and ignore boundary terms to find (3.17).

The scalar field acts as a uniform fluid with energy density and pressure given by

$$\rho = \frac{1}{2c^2} \dot{\phi}^2 + V(\phi) \quad (3.18)$$

$\uparrow$  field KE                       $\uparrow$  field PE

and

$$P = \frac{1}{2c^2} \dot{\phi}^2 - V(\phi) \quad (3.19)$$

Exercise Substitute (3.18) into energy conservation eqn (1.25) to validate pressure in (3.19)

From (2.42) Friedmann eqn

$$H^2 = \frac{8\pi G}{3c^2} \left( \frac{1}{2} \dot{\phi}^2 + V(\phi) \right) \quad (3.20)$$

and accel eqn

$$\frac{\ddot{a}}{a} = -\frac{8\pi G}{3c^2} \left( \frac{1}{2} \dot{\phi}^2 - V(\phi) \right) \quad (3.21)$$

Inflation occurs when vacuum energy dominates  $V(\phi) \gg \frac{1}{2c^2} \dot{\phi}^2$

So we have  $P = -\rho$  in (3.18), (3.19) (i.e.  $w \approx -1$  in (1.27)

approximately const), i.e. the condition for accel  $\ddot{a} > 0$

in (3.21). The effective cosmological const. is

$$\Lambda_{\text{eff}} = \frac{8\pi G}{c^4} V(\phi)$$

and from (3.20),

$$H = \sqrt{\frac{8\pi G}{3c^2} V(\phi)}$$

In most models, inflation occurs  $t_{\text{inf}} \approx 10^{-35}$  s, ending with "reheating" at  $t = t_{\text{reheat}}$  when  $\frac{1}{2c^2} \dot{\phi}^2 \approx V(\phi)$ . The vacuum energy is converted into relativistic particles, restarting the Hot Big Bang.

## Canonical example: slow-roll inflation

During inflation with  $V(\phi) \gg \frac{1}{2c^2} \dot{\phi}^2$ , and we have overdamped evolution

$$\ddot{\phi} \ll 3H\dot{\phi}.$$

Then

$$3H\dot{\phi} = -c^2 \frac{dV}{d\phi} \quad (3.22)$$

and

$$H^2 = \frac{8\pi G}{3c^2} V(\phi) \quad (3.23)$$

Eqs (3.22), (3.23) are known as the slow-roll approximation.

Now consider a massive scalar field (mass  $m$ ) with potential

$$V(\phi) = \frac{1}{2} \frac{m^2 c^2}{\hbar^2} \phi^2 \equiv \frac{1}{2} \mathcal{M}^2 \phi^2 \quad (3.24)$$

Eqn (3.22) becomes

$$H\dot{\phi} = -\frac{c^2}{3} \mathcal{M}^2 \phi^2 \quad (3.25)$$

and (3.23) becomes

$$H^2 = \frac{4\pi G}{3c^2} \mathcal{M}^2 \phi^2 \equiv c^2 \frac{\mathcal{M}^2}{\mathcal{M}_{pl}^2} \phi^2 \quad (3.26)$$

where  $\mathcal{M}_{pl} = \sqrt{3c^2/4\pi G}$ .

Note: Natural units more convenient  $c = G = \hbar = k_B = 1$ .

Here  $[\rho] = [E/v] = \text{kg m}^{-1}\text{s}^{-2} \Rightarrow [\phi^2] = \text{kg m s}^{-2}$ .

Eliminate  $H$ , then

$$\dot{\phi} = -\frac{c}{3} \mathcal{M} \mathcal{M}_{pl}$$

$$\Rightarrow \phi(t) = \phi_i - \frac{1}{3} \mathcal{M} \mathcal{M}_{pl} c (t - t_i) \quad (3.27)$$

With initial  $\phi(t_i) = \phi_i$

Now substitute into (3.26)

$$\frac{\dot{a}}{a} = \frac{cM\phi}{M_{pl}}$$

to find

$$a(t) = \exp\left(\frac{3}{2M_{pl}^2} (\phi_i^2 - \phi^2(t))\right) \quad (3.28)$$

This is quasi-exponential expansion with  $N$  e-folds of expansion

$$N = \log\left(\frac{a}{a_i}\right) = \frac{3}{2} \frac{\phi_i^2}{M_{pl}^2} \quad (3.29)$$

e.g.  $N \geq 60$ ,  $\phi_i \geq 6 M_{pl}$ .

### Reheating

Inflation ends when KE  $\frac{1}{2c^2} \dot{\phi}^2 \gtrsim \frac{1}{2} M^2 \phi^2$  PE, i.e.

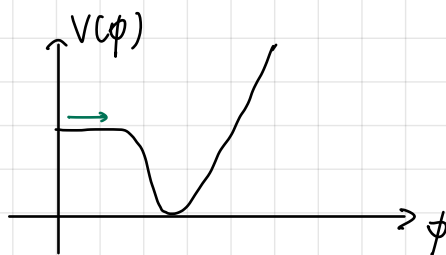
$$\phi_{reh} \approx \frac{M_{pl}}{3}$$

using  $\dot{\phi}$  from (3.27). Oscillation about  $\phi \approx 0$  begin. (damped harmonic oscillator) and scalar field decays into thermal particles.

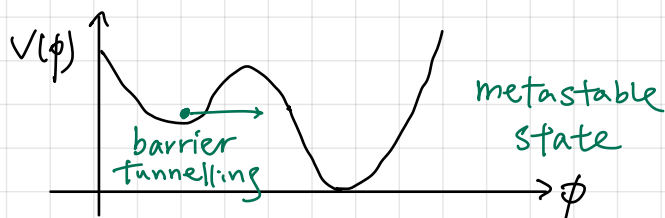
### Classes of inflation

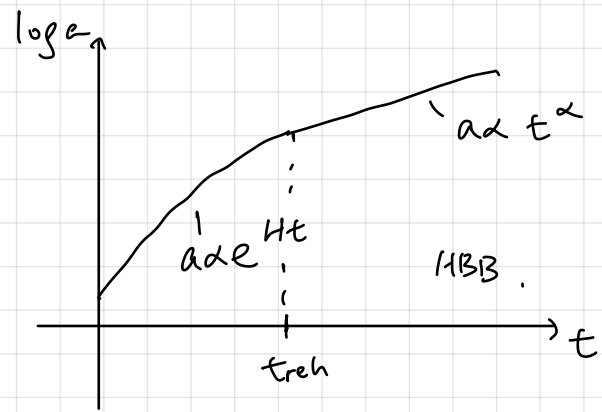
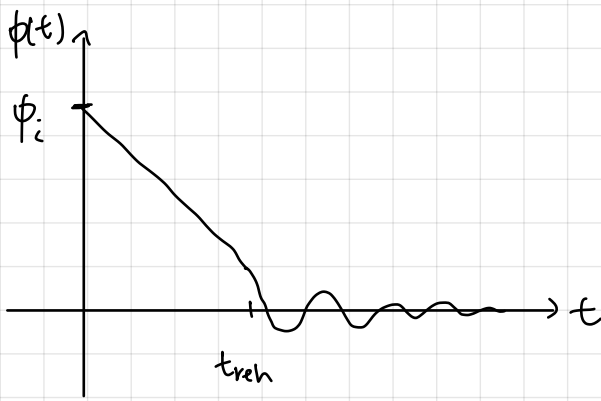
- Large field inflation (or chaotic inflation): see earlier.
- Small field inflation

flat potential  
 $\Rightarrow \dot{\phi}^2 \ll V(\phi)$



- False vacuum (old inflation)





## Motivation for Inflation

• Flatness problem: Rewrite conformal Fried (2.40) as

$$\Omega - 1 = \frac{kc^2}{a^2 H^2}$$

Then during inflation,  $\Omega - 1 \rightarrow 0$  is an "attractor" because with  $\ddot{a} > 0$ ,  $a^2 H^2 = \ddot{a}^2$  increases with  $t$ .

During inflation,

$$|\Omega_{\text{reh}} - 1| \approx \left(\frac{a_{\text{reh}}}{a_i}\right)^2 |\Omega_i - 1| \quad (3.30)$$

where  $a_{\text{reh}}/a_i \approx e^{H(t_{\text{reh}} - t_i)}$ . Require 60 e-folds

$$N = \log\left(\frac{a_{\text{reh}}}{a_i}\right) \approx H(t_{\text{reh}} - t_i) \geq 60.$$

• Horizon problem: Consider the evolution of comoving scale corresponding to the Hubble radius today ( $cH_0^{-1}$ ) at  $t=t_0$ , i.e.  $a(t) (cH_0^{-1})$ .

At time  $t=t_{\text{reh}}$ , this comoving scale had a physical scale size

$$\frac{a(t_{\text{reh}})}{a(t_0)} (cH_0^{-1}) \gg cH_{\text{reh}}^{-1}.$$

But, during inflation,  $H_i \approx \text{const} \approx H(t_{\text{reh}})$ , so this

comoving length scale becomes comparable to the Hubble radius  $cH_I^{-1} \approx cH_{\text{reh}}^{-1}$  when

$$\frac{a(t_I)}{a(t_{\text{reh}})} \frac{a(t_{\text{reh}})}{a(t_0)} cH_0^{-1} = cH_I^{-1}$$

i.e. at  $t = t_I$  defined by

$$a(t_I) H_I = a(t_0) H_0 \quad (3.31)$$

inflation  $\nearrow$   $\nwarrow$  Hot big bang

So the observable universe could have begun inside one Hubble radius (i.e. in casual contact).

Exercise Show that a comoving scale  $x$  today ( $a_0 = 1$ ) will exit the horizon (Hubble radius) at time  $t_I$  (during inflation) and re-enter at  $t_H$  during HBB given by

$$a(t_I) H_I = a(t_H) H(t_H) = cx^{-1} \quad (3.32)$$

### Origin of quantum fluctuations

Heisenberg's uncertainty principle  $\Delta x \Delta p \geq \hbar/2$ , or  $\Delta E \Delta t \geq \hbar/2$  is relevant during inflation because of the event horizon  $\Delta x \approx cH_I^{-1}$ , or  $\Delta t = H_I^{-1}$ .

$$\Rightarrow \Delta E \sim \hbar / \Delta t \sim \hbar H_I.$$

But energy in a Hubble volume

$$E = \rho (cH_I^{-1})^3 = \left( \frac{3c^2}{8\pi G} \right) \cdot \left( \frac{c^3}{H_I^3} \right) \sim \frac{(m_{\text{pl}} c^2)^2}{\hbar H_I}$$

with  $m_{\text{pl}} = \left( \frac{\hbar c}{G} \right)^{1/2}$  Planck mass.

So

$$\frac{\delta \rho}{\rho} = \frac{\delta G}{G} = \left( \frac{\hbar H_I}{m_{pl} c^2} \right)^2 \quad (3.33)$$

These quantum fluctuations (const. amplitude) exit during inflation and re-enter the universe during HBB at  $t = t_{rh}$  in (3.32). After  $t > t_{eg}$ , these inhomogeneities can grow to form structures like galaxies etc. (§ 5).

## 4. Thermal History of the Universe

### 4.1 Matter Content of the Universe

#### \*The standard model

- There are 12 building blocks — quarks, leptons, out of which baryons (protons and neutrons) are made.
- Forces between particles mediate by gauge bosons — gluons, vector bosons  $W^\pm$ ,  $Z$ ,  $\gamma$  photons — plus Higgs scalar  $\Phi$  and gravitons  $h_{\mu\nu}$ .

#### Bosons and Fermions

Two indistinguishable particles in states  $\phi_k(1)$  and  $\phi_l(2)$ .

Wavefn  $\Psi(1,2)$ , could be  $\phi_k(1)\phi_l(2)$ , or  $\phi_k(2)\phi_l(1)$ , but

$$|\Psi(1,2)|^2 = |\Psi(2,1)|^2$$

- Bosons are symmetric under  $1 \leftrightarrow 2$

$$\Psi_B(1,2) = \phi_k(1)\phi_l(2) + \phi_k(2)\phi_l(1) = \Psi_B(2,1)$$

If  $k=l$ , any no. of particles possible.  $n_k = 0, 1, 2, 3, \dots \quad \forall k \quad (4.1)$

• Fermions are antisymmetric  $1 \leftrightarrow 2$

$$\Psi_F(1,2) = \phi_k(1)\phi_l(2) - \phi_k(2)\phi_l(1) = -\Psi_F(2,1)$$

So occupations is never above unity.

$$n_k = 0, 1 \quad \forall k \quad (4.2)$$

This is Pauli exclusion principle.

## 4.2 Laws of Thermodynamics

An isolated system is in equilibrium if its state variables do not change in time, e.g. energy  $E$ , volume  $V$ , particle no.  $N$  (extensive: change with system size), temperature  $T$ , pressure  $p$ , chemical potential  $\mu$  (intensive).

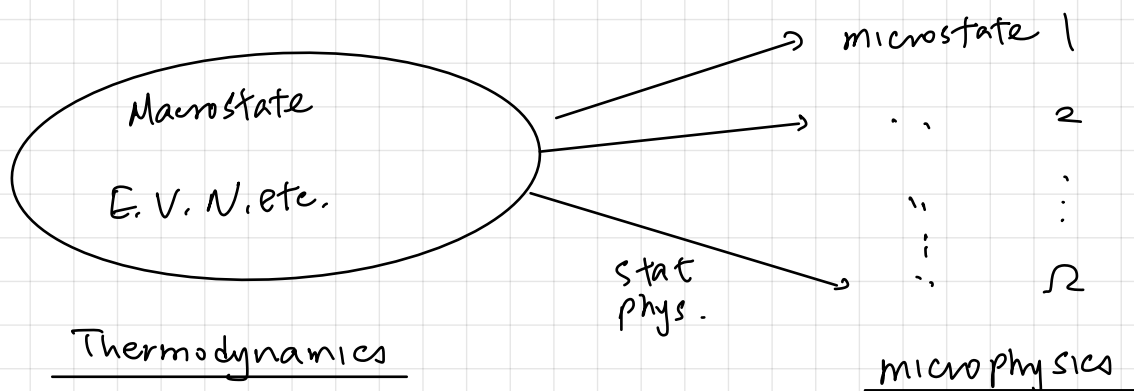
For every macrostate of a system ( $E, V, N$ , etc) there are many possible microstates, a very large number  $\Omega$ .

We assume that each of these microstates is equally likely (principle III).

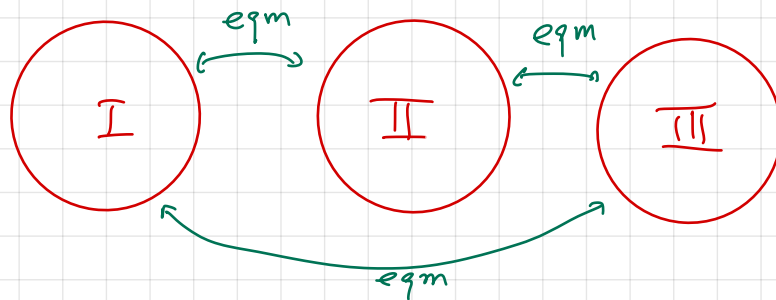
The entropy  $S$  of the system is defined as

$$S = k \log \Omega \quad (4.3)$$

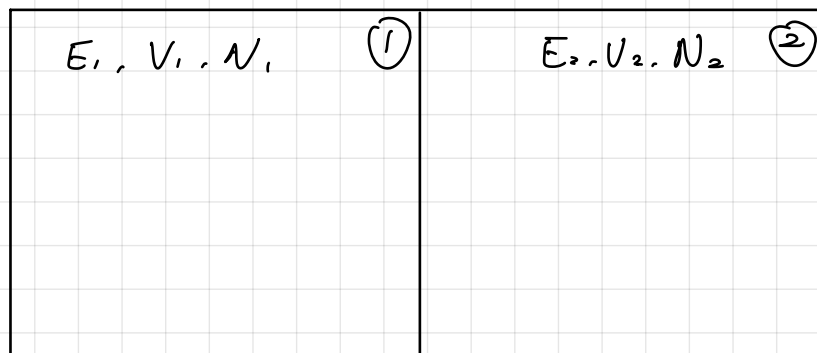
where  $k = k_B = 1.38 \times 10^{-23} \text{ J K}^{-1}$  is the Boltzmann's constant.



Zeroth law: Two systems in equilibrium with each other have the same temp.  $T_1 = T_2 = T$ , pressure  $P_1 = P_2 = P$ , and chemical potential  $\mu_1 = \mu_2 = \mu$ .



Consider two systems with fixed  $E, V, N$ .



The total no. of microstates of combined system of combined system

$$\Omega = \Omega_1 \Omega_2 \quad (4.4)$$

So entropy is additive.

$$S = S_1 + S_2 \quad (4.5)$$

Allow exchange of energy  $E_i$  (at fixed  $V_i, N_i$ ), so given  $E$  for system 1, we have

$$E_2 = E - E_1 \quad (*)$$

This implies  $S = S_1(E_1, V_1, N_1) + S_2(E - E_1, V_2, N_2)$ .

Most probable partition maximises  $\Omega$  (and thus  $S$ ) w.r.t. changing  $E$ , i.e.  $\frac{\partial S}{\partial E_1} = 0$  (+).

$$\text{But } \frac{\partial S}{\partial E_1} = \frac{\partial S_1}{\partial E_1}(E_1, V_1, N_1) + \frac{\partial S_2}{\partial E_1}(E-E_1, V_2, N_2)$$

$$= \frac{\partial S_1}{\partial E_1} - \frac{\partial S_2}{\partial E_2} = 0.$$

So we have

$$\frac{\partial S_1(E_1, V_1, N_1)}{\partial E_1} = \frac{\partial S_2(E_2, V_2, N_2)}{\partial E_2} \quad (4.6)$$

Define temperature by

$$\frac{\partial S}{\partial E} = \frac{1}{T} \quad (4.7)$$

So eqm requires

$$T_1 = T_2 \quad (4.8)$$

Similarly, for volume  $V$  exchange, define

$$\frac{\partial S}{\partial V} = P \quad (4.9)$$

implies

$$P_1 = P_2 \quad (4.10)$$

Exchange of particles  $N$ , define

$$\frac{\partial S}{\partial N} = -\mu/T \quad (4.11)$$

implies

$$\mu_1 = \mu_2 \quad (4.12)$$

First law: Energy is conserved

$$dE = T dS - P dV + \mu dN \quad (4.13)$$

$\uparrow$  "heat energy"  $dQ$        $\uparrow$  mech work  $dW$        $\uparrow$  chem energy

This can be obtained from  $S(E, V, N)$

$$dS = \frac{\partial S}{\partial E} dE + \frac{\partial S}{\partial V} dV + \frac{\partial S}{\partial N} dN$$

$$= \frac{1}{T} dE + \frac{P}{T} dV - \frac{\mu}{T} dN$$

and rearrange,

Second law: For an isolated system, entropy change is always non-negative.

$$\Delta S \geq 0 \quad (4.14)$$

Chemical potentials: Affinity  $v_i$  for a general interaction

$$\sum v_i A_i = 0 \quad (4.15)$$

no. of particles
species label

is chemical eqm (for fixed  $E, V$ ) from (4.13) implies

$$\sum \mu_i dN_i = 0 \quad (4.16)$$

E.g. nuclear reaction  $p + n \leftrightarrow D$ ,  $v_p = 1$ ,  $v_n = 1$ ,  $v_D = -1$   
then at eqm,

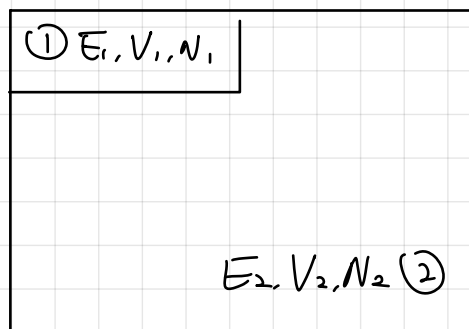
$$\mu_p + \mu_n = \mu_D.$$

### 4.3 Equilibrium distribution

#### Boltzmann distribution

Two systems  $E_1 \ll E_2$  etc, but fixed total  $E, V, N$  (Fix  $V_1, T, \mu$ )

Label microstates for system 1.



Taking  $N_i = n$ , non-degenerate eigenstates  $r^{(n)}$  with evals  $E_r^{(n)}$  with

$$E_1^{(n)} < E_2^{(n)} < \dots < E_r^{(n)} < \dots \quad (4.17)$$

Recall (4.4)  $\Omega = \Omega_1 \Omega_2$ , so probability  $p_r^{(n)}$  of microstate  $r^{(n)}$  is

$$p_r^{(n)} = \frac{\text{no. of microstates of system 2}}{\text{total microstates}} = \frac{\Omega_2(E_2, V_2, N_2)}{\Omega(E, V, N)}$$

where  $E = E_r^{(n)} + E_2$ ,  $N = n + N_2$  and ignore fixed  $V$ .

From entropy def<sup>n</sup>,

$$\begin{aligned}\Omega_2(E_2, N_2) &= \exp\left(\frac{1}{k} S_2(E_2, N_2)\right) \\ &= \exp\left(\frac{1}{k} S_2(E - E_r^{(n)}, N - n)\right)\end{aligned}$$

So

$$\begin{aligned}S_2(E - E_r^{(n)}, N - n) &= S_2(E, N) - \frac{\partial S_2}{\partial E_2} E_r^{(n)} - \frac{\partial S_2}{\partial N_2} n + \dots \\ &= \text{const.} - E_r^{(n)}/T - \mu n/T + \dots\end{aligned}$$

Hence in eqn, we have

$$\begin{aligned}p_r^{(n)} &= \text{const.} \times \exp\left(\frac{1}{kT} (\mu n - E_r^{(n)})\right) \\ &= \frac{\exp(\beta(\mu n - E_r^{(n)}))}{Z}\end{aligned}\quad (4.19)$$

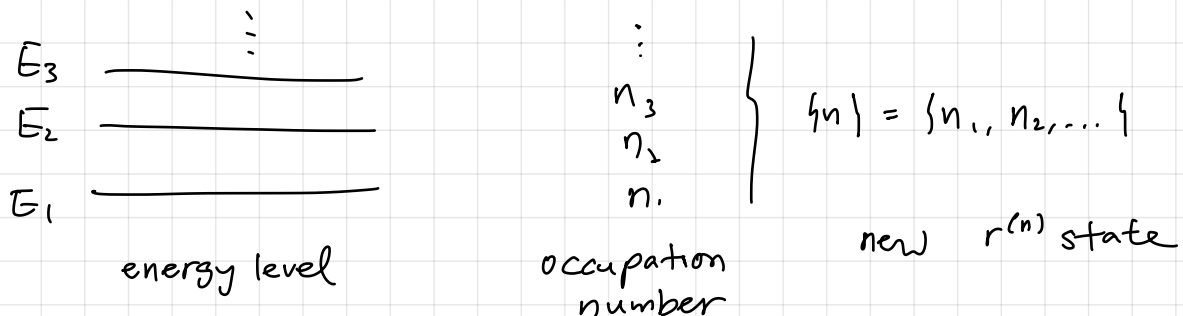
where  $\beta = \frac{1}{kT}$  and normalisation factor  $\left(\sum_{n,r} p_r^{(n)} = 1\right)$

$$Z = \sum_{n,r} \exp(\beta(\mu n - E_r^{(n)})) \quad (4.20)$$

known as the partition function.

Ideal gas: Assume identical partition in one of the discrete set of energy levels

$$E_1 < E_2 < E_3 < \dots \quad (4.21)$$



$n$ -particles  $r^{(n)} \rightarrow \{n_1, n_2, n_3\}$

$$n = \sum_k n_k, \quad E_r^{(n)} = \sum_k n_k E_k \quad (4.22)$$

Boltzmann-Gibbs distribution factorises

$$P_r^{(n)} \rightarrow p(n) = \text{const.} \exp\left(\beta \sum_k (\mu - E_k)\right) \quad (4.23)$$
$$= \prod_k p_k(n_k)$$

where

$$p_k(n_k) = \exp(\beta n_k (\mu - E_k)) / Z_k. \quad (4.24)$$

and

$$Z_k = \sum_n \exp(\beta n (\mu - E_k)). \quad (4.25)$$

This probability yields the avg value of  $n_k$ .

$$\bar{n}_k = \sum_{n_k} n_k p_k(n_k) = \frac{\sum_{n_k} n_k \exp(\beta n_k (\mu - E_k))}{\sum_{n_k} \exp(\beta n_k (\mu - E_k))}$$
$$\Rightarrow \bar{n}_k = kT \frac{\partial \log Z_k}{\partial \mu} \quad (4.26)$$

#### 4.4 Statistics of Bosons and Fermions

##### Bose-Einstein distribution

Bosons can have  $n_k = 0, 1, 2, 3, \dots \forall k$ . From (4.25),

$$Z_k = \sum_{n=0}^{\infty} (e^{\beta(\mu - E_k)})^n = \sum_{n=0}^{\infty} A_k^n = \frac{1}{1 - A_k} = \frac{1}{1 - e^{\beta(\mu - E_k)}} \quad (4.27)$$

if  $|A_k| < 1$ .

Diff using (4.26)

$$\bar{n}_k(E_k) = \frac{g_k}{e^{\beta(E_k - \mu)} - 1} \quad (\text{bosons}) \quad (4.28)$$

where  $g_k$  is the degeneracy (e.g. photons  $g_k = 2$  for two polarisations.)

## Fermi-Dirac distribution

Pauli exclusion  $\Rightarrow n_k = 0, 1$  only.

$$Z_k = \sum_{n=0}^1 e^{\beta n(\mu - E_k)} = 1 + e^{\beta(\mu - E_k)}$$

Thus (4.26)

$$\Rightarrow \bar{n}_k(E_k) = \frac{g_k}{e^{\beta(E_k - \mu)} + 1} \quad (\text{fermions}) \quad (4.29)$$

E.g. electron  $g_k = 2$ , spin "up" and spin "down".

## Maxwell-Boltzmann distribution

If ground state  $E_1 - \mu \gg kT = \frac{1}{\beta} \Rightarrow \forall k, E_k - \mu \gg kT$ , so  $e^{\beta} \gg 1$ , implies

$$\bar{n}_k(E_k) \approx g_k e^{-\beta(E_k - \mu)} \quad \left. \begin{array}{l} \text{(bosons)} \\ \text{(fermions)} \end{array} \right) \quad (4.30)$$

## Density of states

Consider momentum states of particles in a box ( $V = L^3$ )

$$\underline{p} = \frac{h}{L} (n_1, n_2, n_3) \quad . \quad n_i \in \mathbb{Z}$$

In the continuum limit on a shell,  $\underline{p} \rightarrow \underline{p} + d\underline{p}$ . no. of e-states is

$$4\pi p^2 dp \frac{L^3}{h^3} \equiv f(p) dp \quad .$$

$\uparrow$  sph. shell $\uparrow$  total states

where

$$f(p) = \frac{4\pi V}{h^3} p^2 \quad (4.31)$$

In 3D, (4.28-29) become

$$\bar{n}(p) d\underline{p} \equiv \frac{4\pi g_s}{h^3} \frac{p^2 dp}{e^{\beta(E(p) - \mu)} \mp 1} \quad \left\{ \begin{array}{l} - \text{ bosons} \\ + \text{ fermions} \end{array} \right. \quad (4.32)$$

where  $g_s$  is degeneracy and

$$E(p) = \sqrt{(pc)^2 + (mc^2)^2} \quad (4.33)$$

Particle no. (average total)

$$N = \int \bar{n}(p) dp \quad (4.34)$$

or number density

$$n = N/V$$

Energy  $E$  is

$$E = \int E(p) \bar{n}(p) dp \quad (4.35)$$

or energy density

$$\rho = E/V$$

Pressure  $P$ : Consider a slowly changing volume  $V = L^3$ , then the momentum state  $p \propto h/L \propto V^{-1/3}$ , so

$$\frac{dp}{dV} = -p/3V \quad (4.36)$$

If eqm maintained (entropy const.  $dS = 0$ ), then occupation no. does not change, so  $\bar{n}(p) dp$  is same, and  $N$  remains fixed. (Ehrenfest principle).

So overall change in energy is

$$\begin{aligned} dE &= \int_0^\infty dE(p) \bar{n}(p) dp \\ &= dV \int_0^\infty E'(p) \frac{dp}{dV} \bar{n}(p) dp \\ &= -\frac{dV}{3V} \int_0^\infty p E'(p) \bar{n}(p) dp. \end{aligned}$$

But  $dS = dN = 0$ . we have  $\left(\frac{\partial E}{\partial V}\right)_{N,S} = -P$  by 1st law (4.13),

Hence,

$$P = \frac{1}{3V} \int_0^{\infty} p E'(p) \bar{n}(p) dp \quad (4.37)$$

Ultrarelativistic limit ( $kT \gg mc^2, \mu$ )

$$E(p) = \sqrt{(pc)^2 + (mc^2)^2} \approx pc$$

$$\Rightarrow p E'(p) = E_p$$

So (4.37) becomes

$$P = \frac{1}{3V} \int_0^{\infty} E(p) \bar{n}(p) dp = E/3V$$

$$\Rightarrow P = \frac{1}{3} \rho \quad (4.38)$$

satisfying (1.27) and (2.27)

Non-relativistic limit ( $kT \ll mc^2$ )

$$E(p) = mc^2 + p^2/2m$$

with  $KE = U(p) = p^2/2m$ , so  $p E'(p) = 2U(p)$ , so

$$P = \frac{2}{3} \int_0^{\infty} U(p) \bar{n}(p) dp$$

$$\Rightarrow P = \frac{2}{3} \frac{U}{V} \quad (4.39)$$

where  $U$  is the **internal energy** (excluding rest mass).

## 4.5 Classical ideal gas

NR gas with Maxwell-Boltzmann distribution (4.31),  $E(p) = mc^2 + p^2/2m$

$$\begin{aligned} N &= \frac{4\pi V g_s}{h^3} e^{\beta(\mu - mc^2)} \int_0^{\infty} e^{-\beta p^2/2m} p^2 dp \quad \leftarrow \text{Gaussian} \\ &= \frac{4\pi V g_s}{h^3} e^{\beta(\mu - mc^2)} \sqrt{\frac{\pi}{2}} \left(\frac{m}{\beta}\right)^{3/2} \end{aligned}$$

by IBP  $p^2 e^{-bp^2} = -\frac{1}{2b} p \frac{d}{dp} (e^{-bp^2})$ . Hence

$$n = \frac{N}{V} = g_s \left( \frac{2\pi m kT}{h^2} \right)^{3/2} \exp((\mu - mc^2)/kT) \quad (4.40)$$

where  $n_Q = \left( \frac{2\pi m kT}{h^2} \right)^{3/2}$  is the quantum concentration.

Exercise Evaluate internal energy  $U(p) = p^2/2m$

$$\begin{aligned} U &= \frac{4\pi g_s V}{h^3} e^{\beta(\mu - mc^2)} \int_0^\infty dp \left( \frac{p^2}{2m} \right) p^2 e^{-\beta p^2/2m} \\ &= \left( \frac{4\pi g_s V}{h^3} e^{\beta(\mu - mc^2)} \right) \left( -\frac{\partial}{\partial \beta} \sqrt{\frac{\pi}{2}} \left( \frac{m}{\beta} \right)^{3/2} \right) \\ &= \frac{3}{2\beta} N \end{aligned}$$

So

$$U = \frac{3}{2} NkT \quad (4.41)$$

Note equipartition of energy  $\frac{1}{2}kT$  for energy degree of freedom.

Combine (4.39), we get Boyle's law

$$pV = NkT \quad (4.42)$$

## 4.6 Ultrarelativistic ideal gas

With  $kT \gg mc^2 \gg \mu$ , using (4.32) for bosons with  $E(p) \approx pc$ ,

$$\begin{aligned} n_b &= \frac{N_b}{V} = \frac{4\pi g_s}{h^3} \int \frac{p^2}{e^{\beta pc} - 1} dp \\ &= \frac{4\pi g_s}{(hc)^3} (kT)^3 \int_0^\infty \frac{y^2 dy}{e^y - 1}, \quad y = \frac{pc}{kT} \end{aligned}$$

Integral

$$I_n = \int_0^\infty \frac{y^n}{e^y - 1} dy = \zeta(n+1) \Gamma(n+1) \quad (*)$$

Given  $\zeta(3) = 1.202\dots$ , we have

$$n_b = \frac{8\pi \zeta(3)}{(hc)^3} g_s (kT)^3 \quad (\text{boson}) \quad (4.43)$$

For energy density, integrate with  $E = pc$ ,

$$\begin{aligned} \rho_b &= \frac{4\pi g_s}{h^3} \int \frac{e p^3}{e^{\beta pc} - 1} dp \\ &\stackrel{(*)}{=} \frac{4\pi g_s}{(hc)^3} g_s (kT)^4 I_3 \\ &= \frac{4\pi^5}{15(hc)^3} g_s (kT)^4 \quad (\text{bosons}) \quad (4.44) \end{aligned}$$

Since  $I(4) = \pi^4/90$ .

For fermions, require

$$\begin{aligned} \int \frac{y^n}{e^y + 1} dy &= \int \frac{y^n (e^y - 1)}{e^{2y} - 1} dy \\ &= \int \left( \frac{y^n}{e^y - 1} - \frac{2y^n}{e^{2y} - 1} \right) dy \\ &= \left( 1 - \frac{1}{2^n} \right) I_n \end{aligned}$$

So using (4.32),

$$n_f = \frac{3}{4} n_b \quad (4.45)$$

and

$$\rho_f = \frac{7}{8} \rho_b \quad (4.46)$$

Effective no. of relativistic degrees of freedom

$$g_* = \sum_{\text{bosons}} g_i + \frac{7}{8} \sum_{\text{fermions}} g_i \quad (4.47)$$

with total energy

$$\rho_r = \frac{4\pi^5}{15(hc)^3} g_*(T) (kT)^4 = \frac{2\sigma}{c} g_*(T) T^4 \quad (4.48)$$

where  $\sigma = \frac{2\pi^5 k^4}{15h^3 c^2} = 5.67 \times 10^{-8}$ .

## 4.7 Photon gas and Planck spectrum

Consider photons in equilibrium for  $t < 400,000$  yrs.

• Massless, so  $E(p) = pc = h\nu$ .  $\Rightarrow p = \frac{h\nu}{c}$ .

• Photon no. not conserved (e.g.  $e^- + \gamma \rightarrow e^- + \gamma + \gamma$ ), so from (4.6), we have  $\mu_\gamma = 0$

• Bosons with 2 polarisation states, so  $g_\gamma = 2$ .

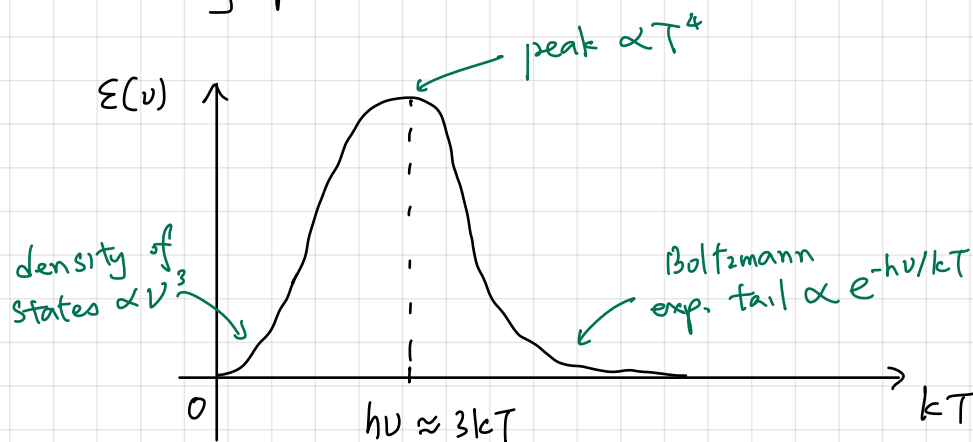
Bose-Einstein distribution (4.32) yields Planck spectrum: photons number density is

$$\bar{n}(p) dp \rightarrow \bar{n}(\nu) d\nu = \frac{16\pi}{c^3} \frac{\nu^2 d\nu}{\exp(h\nu/kT) - 1} \quad (4.49)$$

Energy density

$$\mathcal{E}(\nu) d\nu = \frac{8\pi h}{c^3} \frac{\nu^3 d\nu}{\exp(h\nu/kT) - 1} \quad (4.50)$$

Which is black body spectrum



Peak of spectrum ( $\mathcal{E}'(\nu) = 0$ ) about

$$h\nu_{\text{peak}} \approx 3kT \quad (4.51)$$

The shape is independent of temp.

The most accurately known Planck spectrum is the cosmic microwave with temp.

$$T_0 = 2.7255 \pm 0.0006 \quad (4.52)$$

by COBE satellite.

Integrate (4.50) over all frequencies  $\nu$  to obtain Stefan-Boltzmann law

$$\rho_\gamma = \frac{4\sigma}{c} T^4 \quad (4.53)$$

From (4.43), today we have

$$n_\gamma = \frac{16\pi}{hc^3} \zeta(3) (kT)^3 = 4.11 \times 10^8 / \text{m}^3. \quad (4.54)$$

Comparing to baryons  $n_{B0} = \frac{\Omega_{B0} \rho_{crit}}{m_{proton}} = 0.24 / \text{m}^3$

Why is the CMB Planck spectrum so perfect?

Decoupling at  $T \approx 4000\text{K}$ , so it is not in eqm now! Red shifts of photons

$$\nu(t) = \frac{a(t_{dec})}{a(t)} \nu_{dec} \quad (4.55)$$

and dilute  $\rho_\gamma \propto \left(\frac{a(t_{dec})}{a(t)}\right)^4$ , means Planck spectrum is preserved after decoupling with temp.

$$T(t) = \frac{a(t_{dec})}{a(t)} T_{dec} \quad (4.56)$$

## 4.8 Photon Energy

First law for photons ( $\mu_\gamma = 0$ ) is

$$dE = T dS - P dV.$$

So

$$T dS = dE + P dV$$

$$= d(PV) + \frac{1}{3} \rho dV$$

$$= \frac{4}{3} \rho dV + V d\rho$$

$$= \frac{4\sigma}{c} \left( \frac{4}{3} T^4 dV + 4T^3 V dT \right)$$

$$= \frac{4\sigma}{c} \frac{4}{3} [ T^4 dV + 3T^3 V dT ]$$

$$\Rightarrow dS = \frac{16\sigma}{3c} ( T^3 dV + 3T^2 V dT ) = \frac{16\sigma}{3c} d(T^3 V)$$

$\leftarrow (4.38) P = \frac{1}{3} \rho$

Integrate .

$$S = \frac{16\sigma}{3c} T^3 V + S_0 ,$$

but third law  $S \rightarrow 0$  as  $T \rightarrow 0 \Rightarrow S_0 = 0$ , thus entropy density

$$s = \frac{S}{V} = \frac{16\sigma}{3c} T^3 \quad (4.57)$$

Now, if interaction rate  $\Gamma$  is much faster than the expansion rate  $H$ ,

$$\Gamma \gg H \quad (4.58)$$

The expansion is quasi-static and universe passes slowly through a cts series of equilibrium states, with the occupation no.  $N \propto VT^3 = \text{const.}$ , so likewise entropy  $S \propto VT^3 = \text{const.}$

But with  $V \propto a^3$ , we have  $T \propto 1/a$ , so photons undergo adiabatic cooling.

In radiation era (2.28),  $a \propto t^{1/2}$ , so cosmic time  $t \propto 1/T^2$  and

$$H = \frac{1}{2t} \propto T^2 ,$$

$$H = \sqrt{\frac{8\pi G \rho}{3c^2}} = \sqrt{\frac{32\pi G \sigma}{3c^2}} g_*^{1/2} T^2 .$$

effective rel. deg. of freedom

$$\approx 2.17 \times 10^{-11} g_*^{1/2} T^2 \quad (4.60)$$

Particle no.  $N_s$

Photon no.  $N_\gamma$  conserved. cooling with  $T \propto 1/a$ . Compare to other species  $N_s$  with conserved particle no.

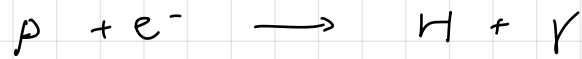
$$\frac{N_s}{N_\gamma} = \frac{n_s}{n_\gamma} = \text{const.} \quad (4.61)$$

key example: baryon-to-photon ratio (4.55)

$$\eta \equiv \frac{n_b}{n_\gamma} = 5.9 \times 10^{-10} \quad (\text{for } t < 10s)$$

## 4.9 Recombination

when  $T \leq 5000\text{K}$  (about 300k years), protons and electrons begin to combine to form hydrogen.



Chemical eqm:  $\mu_p + \mu_{e^-} = \mu_H + \cancel{\mu_\gamma} = 0$  (4.62)

Charge neutrality:  $n_e = n_p$  (4.63)

From (4.40), number density for NR particles is

$$n_i = g_i \left( \frac{2\pi m_i kT}{h^2} \right)^{3/2} e^{(\mu - m_i c^2)/kT}$$

Divide these to eliminate  $\mu$ 's in (4.62)

$$\frac{n_e n_p}{n_H} = \frac{g_e g_p}{g_H} \left( \frac{2\pi m_e m_p kT}{m_H h^2} \right)^{3/2} \exp\left( \frac{-(m_p + m_e - m_H)c^2}{kT} \right)$$

? ionisation energy

Here,  $g_H = 4$ ,  $m_H \approx m_p$ , so using ionisation energy  $I = 13.6\text{eV}$ , we obtain Saha's eqn

$$\frac{n_e^2}{n_H} = \left( \frac{2\pi m_e kT}{h^2} \right)^{3/2} e^{-I/kT} \quad (4.64)$$

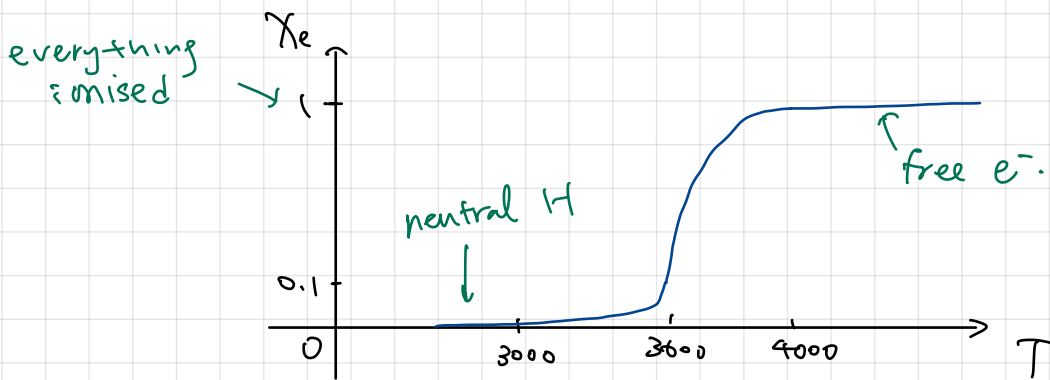
Usually expressed with free electrons.

Ratio:  $X_e = \frac{n_e}{n_B} = \frac{n_e}{n_p + n_H}$  (4.65)

$$\Rightarrow n_e = X_e n_B = X_e \eta n_H$$

Exercise Show Saha becomes

$$\frac{1 - X_e}{X_e} = \eta T(3) \sqrt{\frac{32}{\pi}} \left( \frac{kT}{c^2} \right)^{3/2} e^{I/kT} \quad (4.66)$$

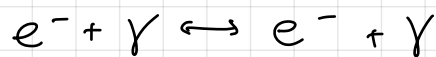


For  $X_e = 0.1$ , can solve to show that  $T \approx 3600 \text{ K}$  ( $kT = 0.31 \text{ eV}$ )

#### 4.10 Photon Decoupling

Photons and free  $e^-$  interact via (elastic) Thomson scattering

( $h\nu \ll m_e c^2$ )



with the interaction rate

$$\Gamma_\gamma = n_e \sigma_T c \quad (4.68)$$

with the cross-section  $\sigma_T = \frac{8\pi}{3} \left( \frac{e^2}{4\pi\epsilon_0 m_e c^2} \right)^2 = 6.7 \times 10^{-29} \text{ m}^2$ .

Photons fall out of eqm when

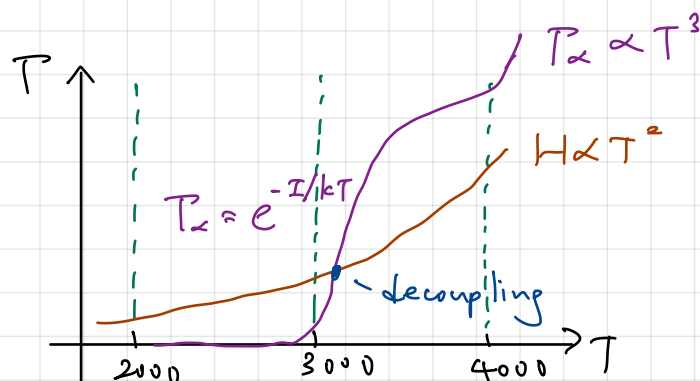
$$\Gamma_\gamma \lesssim H. \quad (4.69)$$

i.e. recombination  $n_e \rightarrow 0$ .

We know  $H \approx 4.3 \times 10^{-21} T^2$ ,  $g_{\text{eff}}^* = 3.9$  ( $\gamma, \nu$ 's).

We compare with

$$\Gamma_\gamma = n_e \sigma_T c = X_e g n_\gamma \sigma_T c = \begin{cases} 2.4 \times 10^{-22} T^3 & T > 4000 \text{ K} \\ 4 \times 10^{-11} T^{-3/2} e^{-8 \times 10^4 / T} & T \leq 3500 \text{ K} \end{cases} \quad (4.70)$$



Setting  $T = H$  above yields

$$T_{\text{dec}} \approx 3000 \text{ K} \quad (kT \approx 0.26 \text{ eV})$$

with  $X_{\text{dec}} \approx 0.004$  when  $t_{\text{dec}} \approx 380,000 \text{ yrs.}$   $z_{\text{dec}} \approx 1100.$

Decoupled photons maintain their eqm. dist. (4.50) as shown in (4.56) with  $T \propto 1/a.$

Small residual ionisation left  $X_e \approx 5 \times 10^{-4}.$

#### 4.10 Precursor Lepton Decoupling

About  $t = 1 \text{ s}$  with  $kT = 1 \text{ MeV}$  ( $T = 10^9 \text{ K}$ ), the universe is dominated by rel. lepton species

$$g_* = 2[\gamma] + \frac{7}{8} (4[e^-, e^+] + 6[\nu_i, \bar{\nu}_i]) = 10.75 \quad (4.73)$$

Small no. of NR protons and neutrons

$$n_p + n_n = n_B \ll n_\gamma$$

Total entropy is

$$S = \frac{8\pi}{3c} g_* T^3 \quad (4.74)$$

(N.B.  $1 \text{ MeV} = 10^6 \text{ eV} = 1.6 \times 10^{-13} \text{ J}$ )

#### Neutrino decoupling (massless or UR species)

Maintain eqm through weak interactions

$$e^- + \nu_e \leftrightarrow e^- + \nu_e, \quad e^- + e^+ \leftrightarrow \nu_e + \bar{\nu}_e, \text{ etc.}$$

With Fermi cross-section

$$\sigma_W = G_F^2 T^2,$$

where  $\frac{G_F}{(\hbar c)^3} \sim \frac{10^{-5}}{(m_p c^2)^3} \sim 10^{-5} \text{ GeV}^{-2}$ , with  $m_p c^2 = 938 \text{ MeV}.$

With interactions

$$\Gamma_{\nu_e} \approx m_e \sigma_w \sim 0.3 G_F^2 T^5 \quad (4.75)$$

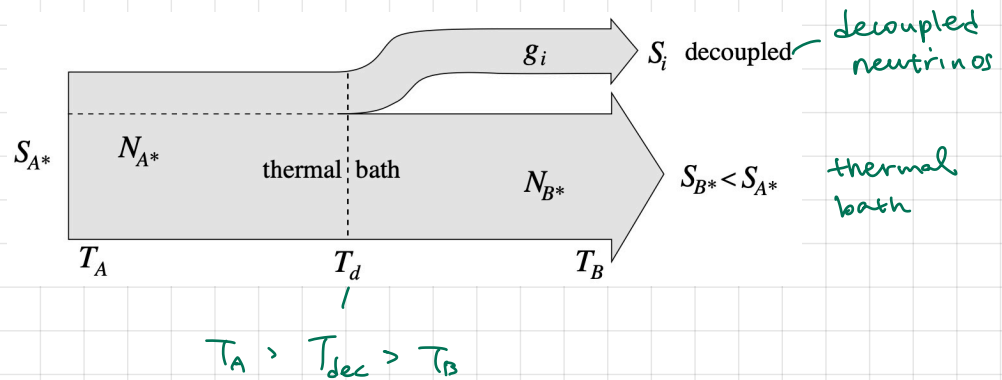
Comparing with  $H$  (4.60),

$$\left(\frac{\Gamma_{\nu}}{H}\right) \approx \left(\frac{kT}{1\text{MeV}}\right)^3 \quad (4.76)$$

So neutrino decoupling at  $T_{\nu_{\text{dec}}} \approx 1\text{MeV}$ .

Neutrinos still UR  $g_{\nu} = \frac{7}{8} \times 6 = 5.25$ , so they take entropy out of thermal bath

$$\frac{S_{\nu}}{S_{*}} = \frac{5.25}{10.75} = 49\%$$



For  $T_B < T_{\nu_{\text{dec}}}$ , neutrinos temp

$$T_{\nu} \propto \frac{1}{a} \quad (4.77)$$

just like  $\gamma$ , but later  $T_{\nu}$  differ from  $T \equiv T_{\gamma}$ .

So add all rel. dof.

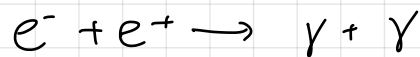
$$\sum g_*^{\text{eff}} = \underbrace{\sum_b g_b + \frac{7}{8} \sum_f g_f}_{\text{thermal bath temp } T} + \underbrace{\sum_{b'} g_{b'} \left(\frac{T_{b'}}{T}\right)^3 + \frac{7}{8} \sum_{f'} g_{f'} \left(\frac{T_{f'}}{T}\right)^3}_{\text{decoupled massless/UR species}} \quad (4.78)$$

## Electron-positron annihilation (massive decoupling)

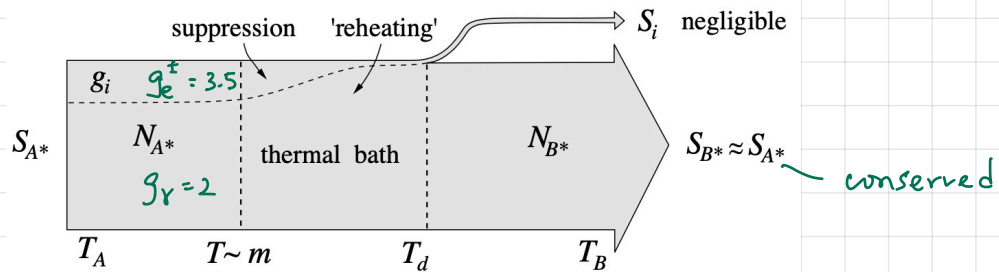
At temp.  $T$  below mass threshold  $m_e c^2 = 0.511 \text{ MeV}$  (i.e.  $kT \lesssim 0.5 \text{ MeV}$ ) and  $e^\pm$  are exponentially suppressed (by (4.40))

$$n = g_s \left( \frac{2\pi m_e kT}{h^2} \right)^{3/2} \exp(-(\mu - m_e c^2)/kT)$$

This is due to annihilation



This "reheats" photons. As entropy is conserved, temp.  $T$  of thermal bath must fall more slowly ("delayed cooling")



So equil. temp. falls slowly  $T_{Me} < T < T_{de}$ ,  $S(T_A) = S(T_B)$

$$\Rightarrow s(T_A) a^3(t_A) = s(T_B) a^3(t_B)$$

↑  
entropy density

$$\Rightarrow \frac{T_B}{T_A} = \left( \frac{g_*(T_A)}{g_*(T_B)} \right)^{1/3} \frac{a(t_A)}{a(t_B)} = \left( \frac{5.5}{2} \right)^{1/3} \frac{a(t_A)}{a(t_B)} \quad (4.79)$$

i.e. not simply  $T \propto 1/a$ .

## Neutrino temperature

Assume  $T_A > T_{Me} > T_{de} > T_B$ , neutrino temp falls as

$$\frac{T_B^\nu}{T_A^\nu} = \frac{T_B}{T_A} = \frac{a(t_A)}{a(t_B)} \quad (4.80)$$

Combining with (4.79), when  $T < T_{de}$ , we have

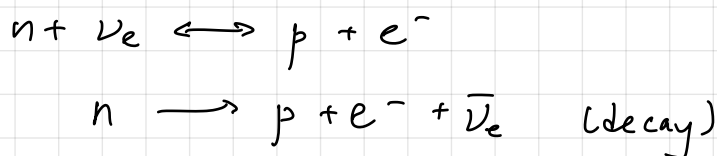
$$\frac{T_U}{T} = \left(\frac{4}{11}\right)^{1/3} \quad (4.81)$$

So today  $T_0 = 2.73 \text{ K} \rightarrow T_0^U = 1.95 \text{ K}$ .

## 4.11 Light element synthesis

### Neutron-proton ratio

At  $t=1\text{s}$  ( $kT = 1\text{MeV}$ ), neutrons and protons are in eqm through weak interactions



with interaction rate

$$\frac{\Gamma_W}{H} \approx \left(\frac{kT}{0.7\text{MeV}}\right)^3 \quad (4.82)$$

ie "effective" decoupling temp.  $T \approx 0.7\text{MeV}$ .

Divide NR eqm distributions

$$\frac{n_n}{n_p} = \left(\frac{m_n}{m_p}\right)^{3/2} \exp\left[\frac{(\mu_n - \mu_p) - (m_n - m_p)c^2}{kT}\right] \approx \exp\left(-\frac{Q}{kT}\right) \quad (4.83)$$

where  $Q = (m_n - m_p)c^2 = 1.29\text{MeV}$  and assume

$$\frac{\mu_n - \mu_p}{kT} = \frac{\mu_e - \mu_{\nu_e}}{kT} \approx 0$$

(since  $\frac{\mu_e}{kT} \approx \frac{\mu_{\nu_e}}{kT} \approx \eta \sim 10^{-9}$ ). Using  $kT_D \approx 0.7\text{MeV}$ , the neutrons "freeze out" with

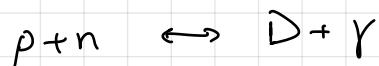
$$\frac{n_n}{n_p} = \frac{1}{6} \quad (4.84)$$

The neutrons decay continues with mean lifetime  $\tau_n = 879\text{s}$  until

$t=100\text{s}$  ( $kT \approx 0.1\text{MeV}$ ) when

$$\frac{n_n}{n_p} e^{-t/\tau_n} \approx \frac{1}{7}$$

## Deuterium distribution



So (4.40) yields

$$\frac{X_D}{X_n X_p} = \underbrace{16.3 \eta \left(\frac{kT}{m_p c^2}\right)^{3/2}}_{10^{-16}} \exp(B_D/kT) \quad (4.86)$$

where  $B_D = 2.2 \text{ MeV}$ .

For  $X_D \sim O(1)$ , need  $B_D/kT \approx 35$ , i.e.  $kT \ll B_D$ .

By  $kT \approx 0.07 \text{ MeV}$ , deuterium forms, and past this threshold, reactions cascade to stable the  ${}^4\text{He} = 28.3 \text{ MeV}$  into which almost all neutrons are captured.

Helium-4 abundance : Relative mass density in  ${}^4\text{He}$ .

$$\begin{aligned} Y_{\text{He}} &= \frac{\rho_{\text{He}}}{\rho_B} = \frac{m_{\text{He}}}{m_p} \times \frac{n_{\text{He}}}{n_B} = 4 \times \frac{n_n/2}{n_n+n_p} \\ &= \frac{2n_n/n_p}{n_n/n_p + 1} \\ &= \frac{2 \cdot \frac{1}{7}}{\frac{1}{7} + 1} = \frac{1}{4} \quad (4.87) \end{aligned}$$

The prediction that 25% of ordinary matter is  ${}^4\text{He}$  is confirmed by observation.

$$Y_{\text{He}} = 0.245 \pm 0.002,$$

There are small residual abundances of deuterium D ( $D/H = (2.62 \pm 0.05) \times 10^{-5}$ ) and  ${}^3\text{He}$ , thus trace "proof" of Li and Be — heavier elements are made in stars.

Nuclear predictions match for (4.35) baryon-photon.

$$\eta = 6.14 (\pm 0.18) \times 10^{-10}$$

This is linked to the origin of dark matter and baryon asymmetry.

## 5. Large-Scale Structure Formation

### 5.1 Traces of the matter density

#### Definition and Statistical assumptions

We describe inhomogeneities with the density perturbation  $\delta(\mathbf{r}, t)$  by comparing mass density  $\rho(\mathbf{r}, t)/c^2$  as

$$\delta(\mathbf{r}, t) = \frac{\delta\rho(\mathbf{r}, t)}{\bar{\rho}} = \frac{\rho(\mathbf{r}, t) - \bar{\rho}(t)}{\bar{\rho}(t)} \quad (5.1)$$

$$\text{where } \bar{\rho}(t) = \langle \rho(\mathbf{r}, t) \rangle = \frac{1}{V} \int_V \rho(\mathbf{r}, t) d^3\mathbf{r}. \quad (5.2)$$

So around a hom. FLRW background, we have

$$\rho(\mathbf{r}, t) = \bar{\rho}(t) (1 + \delta(\mathbf{r}, t)) \quad (5.3)$$

Linear if  $\delta \ll 1$ , but can be non-linear.

For galaxies with  $n(\mathbf{r}, t) = \frac{SN}{SV}$ , assume  $\rho/c^2 = \bar{m}n$  with

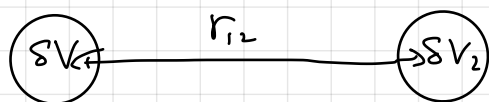
$\rho/\bar{\rho} = m/\bar{m}$  (caveat is "bias" for different galaxy types) (circled)  $\frac{SN}{SV}$

Galaxy clustering described by two-point correlation  $f^n$ .

$$\xi(r) = \langle \delta(\mathbf{r}', t) \delta(\mathbf{r}' + \mathbf{r}, t) \rangle \quad (5.4)$$

$$= \frac{1}{\bar{\rho}^2(t)} \langle \rho(\mathbf{r}', t) \rho(\mathbf{r}' + \mathbf{r}, t) \rangle - 1$$

where  $r = |\mathbf{r}|$



This represents the probability

$$P(1,2) = \bar{n}^2 (1 + \xi(r_{12})) \delta V_1 \delta V_2$$

in excess of random of finding one galaxy in  $\delta V_1$  and another in  $\delta V_2$  separated by a distance  $r_{12} = |\underline{r}_1 - \underline{r}_2|$

Note that  $\xi(\underline{r}) \equiv \xi(|\underline{r}|)$  assumes that

- indpt of  $\underline{r}'$  by statistical homogeneity
- indpt of  $\underline{r}'$  by statistical isotropy.

We also use ergodic hypothesis

$\langle \rangle_{\text{ensemble of realisation}} \longrightarrow \langle \rangle_{\text{spatial average (large vol. realisation)}}$

Variance:  $\sigma^2 = \langle \delta^2(\underline{r}, t) \rangle = \xi(r=0)$ . Usually defined as

$$\sigma_R^2 = \langle \delta_R^2 \rangle \quad (5.5)$$

after smoothing with a window  $f^n$  on a lengthscale  $R$ .

$$\delta_R(\underline{r}, t) = \int d^3 \underline{r}' W_R(|\underline{r}' - \underline{r}|) \delta(\underline{r}', t) \quad (5.6)$$

e.g. with a top hat

$$W_R(\underline{r}) = \begin{cases} 3/4\pi R^3 \text{ (const)} & r < R \\ 0 & r \geq R \end{cases} \quad (5.7)$$

Measurement of  $\sigma_R^2$  on a non-linear scale  $R \approx 8h^{-1} \text{Mpc} \approx 12 \text{Mpc}$ ,  
(with  $h = H_0 / 100 \text{km s}^{-1} \text{Mpc}^{-1} \approx 0.67$ ), yield

$$\sigma_8^2 = \begin{cases} 0.83 \pm 0.02 & \text{(Planck)} \\ 0.78 \pm 0.02 & \text{(Weak lensing)} \end{cases} \quad (5.8)$$

## Fourier space

$$S(\underline{k}) = \int d^3 \underline{x} e^{-i \underline{k} \cdot \underline{x}} \quad (5.9)$$

$$S(\underline{x}) = \int \frac{d^3 \underline{k}}{(2\pi)^3} e^{i \underline{k} \cdot \underline{x}} \quad (5.10)$$

Since  $S(\underline{x})$  real, we have  $S^*(\underline{k}) = S(-\underline{k})$

Derivative:  $\nabla \rightarrow i \underline{k}$

Spatial translation:  $S(\underline{x} + \underline{x}') \rightarrow S(\underline{k}) e^{i \underline{k} \cdot \underline{x}'}$

Dirac  $\delta$  function:  $S^{(3)}(\underline{x} - \underline{x}') \rightarrow \int \frac{d^3 \underline{k}}{(2\pi)^3} e^{i \underline{k} \cdot (\underline{x} - \underline{x}')}$

Convolution thm:

$$f(\underline{x}) = \int d^3 \underline{x}' g(\underline{x}') h(\underline{x} - \underline{x}') \Leftrightarrow f(\underline{k}) = g(\underline{k}) h(\underline{k}) \quad (5.11)$$

Sph. sym.

$$f(\underline{x}) = \int \frac{d^3 \underline{k}}{(2\pi)^3} f(k) e^{i \underline{x} \cdot \underline{k}}$$

Take  $\mu = \hat{\underline{k}} \cdot \hat{\underline{x}} = \cos \theta \Rightarrow i \underline{k} \cdot \underline{x} = i \mu k r$ ,  $k = |\underline{k}|$ ,  $r = |\underline{x}|$ .

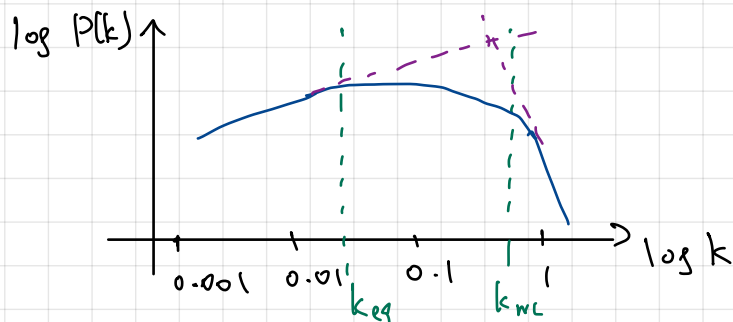
$$\begin{aligned} f(\underline{x}) &= 2\pi \int \frac{k^2 dk}{(2\pi)^3} f(k) \int_{-1}^1 d\mu e^{i \mu k r} \\ &= \frac{1}{2\pi^2} \int k^2 \frac{\sin kr}{kr} f(k) dk \end{aligned} \quad (5.12)$$

## Power spectrum

This is FT of two-point correlation  $\xi(r)$

$$\begin{aligned} P(k) &= \int d^3 \underline{r} \xi(r) e^{i \underline{k} \cdot \underline{r}} \\ &= 4\pi \int r^2 \frac{\sin kr}{kr} \xi(r) dr \end{aligned} \quad (5.13)$$

ie. how much power there is on a given lengthscale  $\lambda \sim k^{-1}$ .



## Dimensionless power spectrum

$$\Delta^2(k) := \frac{k^3}{2\pi^2} P(k) \quad (5.14)$$

which is power per log interval

$$\begin{aligned} \int P(k) \frac{d^3k}{(2\pi)^3} &= \frac{1}{(2\pi)^3} \int P(k) k^2 dk \underbrace{d\mu d\phi}_{4\pi} \\ &= \frac{1}{2\pi^2} \int P(k) k^3 d(\log k) \end{aligned}$$

ie. effectively we are using a window  $f^n \Delta k = k$ .

This is scale-invariant if

$$\Delta^2(k) := \frac{A}{2\pi^2} k^{n-1} \approx \text{const.} \quad (5.15)$$

ie. we have spectral index  $n=1$  (equal power on each length scale) known as the Harrison-Zel'dovich spectrum.

This is a prediction of inflation for primordial perturbation.

$$P_i(k) \approx A k^{n-4} \approx A/k^3 \quad (5.16)$$

and that  $S(k, t)$  is a Gaussian random field.

$$\langle S(k) S(k') \rangle = (2\pi)^3 \delta^{(3)}(k+k') P(k) \quad (5.17)$$

$\uparrow$  Dirac delta

## 5.2 Newtonian Dynamics

### Equation of motion

Consider a flat ( $k=0$ ) FLRW model on subhorizon scales  $r \ll H^{-1}$  ( $v \ll c$ ) with comoving coords

$$\underline{r} = a(t) \underline{x} \quad (5.18)$$

$\swarrow$  physical       $\nwarrow$  comoving

In a grav. potential  $\Phi$ ,

$$\ddot{\underline{r}} = -\nabla_{\underline{r}} \Phi = -\frac{1}{a} \nabla_{\underline{x}} \Phi \quad (5.19)$$

• Homogeneous background  $x = \text{const.}$

$$\dot{x} = Hx = \dot{a}x = \frac{1}{a} \frac{da}{d\tau} x = \frac{a'}{a} x = \mathcal{H}x \quad (5.20)$$

where  $\tau$  conformal time  $d\tau = a dt$ .

$$\ddot{x} = \frac{1}{a} \mathcal{H}' x = -\nabla_x^2 \bar{\Phi} = -\frac{\nabla_x^2}{a} \bar{\Phi} \quad (5.21)$$

The background potential  $\bar{\Phi}$  is

$$\bar{\Phi} = -\frac{1}{2} \mathcal{H}' x^2 \quad (5.22)$$

with  $x = |x|$ .

In a matter-dominated universe ( $\Omega_M \approx 1$  for  $\tau_{eq} < \tau < \tau_u$ ),

Poisson eqn (1.14) implies

$$\nabla_x^2 \bar{\Phi} = \frac{4\pi G}{c^2} a^2 \bar{\rho}_M \quad (5.23)$$

• Inhomogeneous universe  $x \neq \text{const.}$

$$\dot{x} = Hx + a\dot{x} = \mathcal{H}x + \dot{x}'$$

↑ (tubble flow)     ↑ pec vel

$$\begin{aligned} \ddot{x} &= \frac{1}{a} \mathcal{H}' x + \frac{1}{a} \mathcal{H} x' + \frac{1}{a} x'' \\ &= -\frac{1}{a} \nabla_x^2 \bar{\Phi} \\ &= -\frac{1}{a} \nabla_x^2 \bar{\Phi} - \frac{1}{2} \nabla_x^2 \phi. \end{aligned}$$

where  $\Phi = \bar{\Phi} + \phi$   
↑ background     ↑ peculiar

Hence,

$$x'' + \mathcal{H} x' = -\nabla_x^2 \phi. \quad (5.24)$$

With Friedmann eqn  $\mathcal{H}^2 = \frac{8\pi G}{3c^2} \bar{\rho}_M a^2$ , the perturbed Poisson eqn (1.14)

with (5.3)  $\rho = \bar{\rho}_M (1 + \delta)$  becomes (matter era)

$$\nabla_x^2 \phi = \frac{4\pi G}{c^2} a^2 \bar{\rho}_m \delta = \frac{3}{2} \mathcal{H}^2 \Omega_m \delta = \frac{3}{2} \Omega_{m_0} H_0^2 \frac{\delta}{a} \quad (5.25)$$

where  $\Omega_{m_0} = \left. \frac{\rho_m}{\rho_{crit}} \right|_{t=t_0}$ . Here, we assume  $\delta \rho_m$  is the most important component, not  $\delta \rho_r$ ,  $\delta \rho_\Lambda$ .

Canonical momentum for "comoving  $\underline{x}$ ":

$$\underline{p} = a m \underline{u} \quad (5.26)$$

where  $\underline{u} = \underline{x}'$ .

$$\underline{p}' = m (a' \underline{x}' + a \underline{x}'') = a m (\mathcal{H} \underline{x}' + \underline{x}'') = -a m \nabla_x \phi \quad (5.27)$$

\* Fluid equations in an expanding universe \*

Consider phase space dist<sup>n</sup>  $f^n$   $f(\underline{x}, \underline{p}, \tau)$  where

$$dN = f(\underline{x}, \underline{p}, \tau) d^3 \underline{p} d^3 \underline{x} \quad (5.28)$$

is the particle no. in phase space vol.  $d^3 \underline{p}$ ,  $d^3 \underline{x}$  (see e.g. eqm. dist<sup>n</sup> (4.32) w/o spatial dependence). NR dark matter obeys

the collisionless Boltzmann eqn

$$\begin{aligned} \frac{df}{d\tau} &= \frac{\partial f}{\partial \tau} + \frac{d\underline{x}}{d\tau} \cdot \frac{\partial f}{\partial \underline{x}} + \frac{d\underline{p}}{d\tau} \cdot \frac{\partial f}{\partial \underline{p}} \\ &= \frac{\partial f}{\partial \tau} + \frac{\underline{p}}{am} \cdot \nabla_x f - am \nabla_x \phi \cdot \nabla_p f = 0 \end{aligned} \quad (5.29)$$

vanishes by Liouville's thm that phase space is conserved along trajectories (no interaction), i.e.  $\frac{df}{d\tau} = 0$ .

• Density  $\rho(\underline{x}, \tau) = \frac{m}{a^3} \int d^3 \underline{p} f(\underline{x}, \underline{p}, \tau) \quad (5.30)$

• velocity  $v_i(\underline{x}, \tau) = \frac{\int d^3 \underline{x} \frac{p_i}{am} f(\underline{x}, \underline{p}, \tau)}{\int d^3 \underline{p} f(\underline{x}, \underline{p}, \tau)} \quad (5.31)$

Moments of Boltzmann eqn (5.29) yield e.o.m. for  $\rho$  and  $\underline{v}$ .

$$\begin{aligned} \text{(2enoth)} \quad & \int d^3p \frac{\partial f}{\partial t} + \int d^3p \frac{p_i}{am} \frac{\partial f}{\partial x_i} + am \nabla_i \phi \int d^3p \frac{\partial f}{\partial p_i} \\ & = \frac{\partial}{\partial t} \left( \frac{a^3}{m} \rho \right) + \nabla_i \left( \frac{a^3}{m} \rho v_i \right) \end{aligned}$$

total derivative vanishes  $p=0, \infty$

Now substitute  $\rho = \frac{\bar{\rho}_m}{a^3} (1 + \delta)$  yields the eqn (using  $\bar{\rho}_m$  eqn)

$$\delta' + \nabla_{\underline{x}} \cdot (\underline{v} (1 + \delta)) = 0 \quad (5.32)$$

Now take the first moment  $\int d^3p \frac{p_i}{am}$  (5.29) to find the Euler eqn or momentum conservation eqn

$$\underline{v}' + \mathcal{H} \underline{v} + (\underline{v} \cdot \nabla_{\underline{x}}) \underline{v} = -\nabla_{\underline{x}} \phi - \frac{1}{\rho} \nabla_{\underline{x}} p. \quad (5.33)$$

where a pressure term has been added and anisotropic stress is ignored.

velocity decomposes into scalar (compressional) and vector (rotational) parts

$$\underline{v} = \underline{v}_{||} + \underline{v}_{\perp}$$

$$\text{with } \nabla \times \underline{v}_{||} = 0, \quad \nabla \cdot \underline{v}_{\perp} = 0$$

Take divergence

$$\theta = \nabla_{\underline{x}} \cdot \underline{v} = \nabla_{\underline{x}} \cdot \underline{v}_{||} \quad (5.34)$$

and curl

$$\underline{\omega} = \nabla_{\underline{x}} \times \underline{v} = \nabla_{\underline{x}} \times \underline{v}_{\perp} \quad (5.35)$$

Linear perturbation eqn

Assume  $\delta, \underline{v}$  small and discard 2nd-order terms ( $\underline{v}\delta, \underline{v} \cdot \nabla \underline{v}$ )

to find

$$(5.32) \Rightarrow \delta' + \nabla_{\underline{x}} \cdot \underline{v} = \delta' + \theta = 0 \quad (*)$$

$$(5.33) \Rightarrow \underline{v}' + \mathcal{H} \underline{v} = -\nabla_{\underline{x}} \phi$$

Decompose  $\psi \rightarrow \theta, \underline{\omega}$ .

Scalar:  $\theta' + \mathcal{H}\theta = -\nabla_x^2 \phi \stackrel{(5.25)}{=} -\frac{3}{2} \mathcal{H}^2 \Omega_m \delta \quad (*)$

vector:  $\underline{\omega}' + \mathcal{H}\underline{\omega} = 0 \Rightarrow \underline{\omega} \propto a^{-1} \quad (5.36)$

as rotational modes are suppressed as the universe expands.

Comparing  $(*)$  and  $(+)$ , we find

$$\delta''(\underline{x}, \tau) + \mathcal{H}(\tau) \delta'(\underline{x}, \tau) - \frac{3}{2} \Omega_m(\tau) \mathcal{H}^2(\tau) \delta(\underline{x}, \tau) = 0 \quad (5.37)$$

↑
↑  
 Hubble damping      grav. attraction

We need to solve this to find  $\delta(\underline{x}, \tau)$ , or equivalently,  $\delta(k, \tau)$  evolves and grows.

With cosmic time  $t$ ,

$$\ddot{\delta}(\underline{x}, t) + 2H(t) \dot{\delta}(\underline{x}, t) - \frac{4\pi G}{c^2} \bar{\rho} \delta(\underline{x}, t) = 0 \quad (5.38)$$

Matter dominated era: between  $\tau_{eq} < \tau < \tau_{\Lambda}$ , NR matter is dominant with  $\Omega_m \approx 1$ . Recall EdS (1.29),

$$a(t) = \left(\frac{t}{t_0}\right)^{2/3}, \quad t_0 = \frac{2}{3} H_0^{-1}, \quad \bar{\rho}_m = \frac{\bar{\rho}_{m_0}}{a^3} = \frac{1}{6\pi G t^2}.$$

In conformal time,

$$a = \left(\tau/\tau_0\right)^2, \quad \tau_0 = 2H_0^{-1} = 2H_0^{-1}, \quad t = t_0 \left(\tau/\tau_0\right)^3.$$

We take

$$a(\tau) = (\tau/\tau_0)^2, \quad \mathcal{H} = 2/\tau$$

So perturbation eqn (5.37) becomes

$$\delta'' + \frac{2}{\tau} \delta' - \frac{6}{\tau^2} \delta = 0 \quad (5.39)$$

Seek power sol<sup>n</sup>  $\delta = \tau^\beta$

$$\beta(\beta-1) + 2\beta - 6 = 0 \Rightarrow \beta = 2, -3$$

So general sol<sup>n</sup> is

$$\delta(x, \tau) = \underbrace{A(x) \left(\frac{\tau}{\tau_i}\right)^2}_{\text{growing mode } (\propto a)} + \underbrace{B(x) \left(\frac{\tau}{\tau_i}\right)^{-3}}_{\text{decaying mode (neglect)}} \quad (5.40)$$

where A, B arbitrary.

So we have growth at time  $\tau$  given by (equiv. as FT).

$$\delta(x, \tau) \approx A(x) \frac{\alpha(\tau)}{\alpha(\tau_i)} = A(x) \frac{1+z_i}{1+z} \quad (5.41)$$

where  $\tau_{eq} < \tau < \tau_L$ .

The maximum linear growth is

$$\frac{1+z_{eq}}{1+z_L} = \left(\frac{\Omega_{m0}}{\Omega_{R0}}\right) \left(\frac{\Omega_{L0}}{\Omega_{m0}}\right)^{-1/3} \approx 2.800 \quad (5.42)$$

$\uparrow$  (2.31)
 $\uparrow$  (3.4)

After which non-linear effects take hold if  $\delta = 1.69$ , we expect the true virialised inhomogeneity to be about  $\delta_{NL} \approx 1.78$  (spherical collapse model)

### 5.3 The Jeans length

The Euler eqn (5.33) includes the effects of non-zero

pressure  $P_m \ll \rho_m$  with sound speed

$$c_s^2 = \frac{dP}{d\rho} \quad (5.43)$$

with EOS  $P_m = \omega_m \rho_m$ , we have  $c_s^2 = \omega_m$ . In Fourier space,

we have

$$\begin{aligned} \frac{1}{\bar{\rho}} \nabla_x P(x, t) &= \frac{1}{\bar{\rho}} \nabla_x (\bar{P}(t) + \delta P(x, t)) \\ &= \frac{1}{\bar{\rho}} \frac{dP}{d\rho} \nabla_x \delta \rho(x, t) = c_s^2 \nabla_x \delta \longrightarrow c_s^2 i_k \delta. \end{aligned}$$

So Euler (5.33) linearised to

$$\theta' + \mathcal{H}\theta = -\frac{3}{2}\Omega_m \mathcal{H}^2 \delta + c_s^2 k^2 \delta$$

Combining with continuity (5.32) or (\*), we find

$$\delta'' + \mathcal{H}\delta' - \left(\frac{3}{2}\Omega_m \mathcal{H}^2 - c_s^2 k^2\right)\delta = 0 \quad (5.44)$$

We define the **Jeans wavenumber** (comoving)

$$k_J = \frac{a}{c_s} \sqrt{4\pi G \bar{\rho}_m / c^2} \quad (5.45)$$

and a **Jeans length** (physical)

$$\lambda_J = \frac{2\pi a}{k_J} = c_s \left( \frac{\pi}{G \bar{\rho}_m / c^2} \right)^{1/2} \quad (5.46)$$

In conformal frame,  $k_J = \left( \frac{3}{2} \frac{\Omega_m \mathcal{H}^2}{c_s^2} \right)^{1/2} = \frac{\sqrt{6}}{c_s \tau}$  in matter

era  $\Omega_m \approx 1$ ,  $\mathcal{H} = 2/\tau$ , so Jeans length becomes

$$\lambda_J = \frac{2\pi a}{k_J} = \frac{2\pi}{\sqrt{6}} \underbrace{c_s a \tau}_{\text{ca}\tau \text{ horizon}} \approx \mathcal{O}(1) c_s t.$$

ie. sound speed horizon.

- For short wavelengths  $\lambda < \lambda_J$  ( $k > k_J$ ), the density perturbation oscillates with freq

$$\omega^2 = \frac{c_s^2 k^2}{a^2} - 4\pi G \bar{\rho}_m > 0 \quad (5.48)$$

So with  $k > k_J$ , we have

$$\delta(\underline{k}, t) \approx A(\underline{k}) \cos(\omega t + \phi) \quad (5.49)$$

with time averages

$$\langle \delta^2(\underline{k}, t) \rangle \approx \frac{|A|^2}{2}$$

ie. there is no net growth.

- For long  $\lambda > \lambda_J$  ( $k < k_J$ ), then grav. collapse at

$$t_{\text{grav}} = 1 / \sqrt{4\pi G \bar{\rho}_m / c^2} \quad (5.50)$$

which proceeds unimpeded outside the sound horizon.

The **Jeans mass** is the minimum mass that can collapse at time  $t$ .

$$M_J(t) = \frac{4\pi}{3} \bar{\rho}_m \lambda_J^3(t) \quad (5.51)$$

Example (Baryons and photon decoupling) For  $t < t_{dec}$  (4.71).

baryons (protons),  $e^-$  and photons in eqm. Photon sound speed dominant  $P = \frac{1}{3} \rho$ , but baryon-photon fluid has  $\rho = \rho_B + \rho_r$ , so sound speed

$$c_s^2 = \frac{dP_r}{d\rho} = \frac{\partial P_r}{\partial \rho_r} \cdot \frac{\partial \rho_r}{\partial \rho} = \frac{c^2}{3} \left(1 + \frac{\partial \rho_B}{\partial \rho_r}\right)^{-1} \quad (\ddagger)$$

with  $\rho_B = \rho_{B0}/a^3$ ,  $\rho_r = \rho_{r0}/a^4$ , so

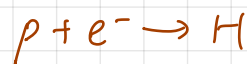
$$\frac{\partial \rho_B}{\partial \rho_r} = \frac{\partial \rho_B}{\partial a} \left(\frac{\partial \rho_r}{\partial a}\right)^{-1} = \frac{3}{4} \frac{\rho_{B0}}{\rho_{r0}} a = \frac{3}{4} \frac{\rho_{m0}/b}{\rho_{r0}/1.67} a$$

$\leftarrow$  include cold dark matter  
 $\leftarrow$  include neutrinos

Thus  $(\ddagger)$  gives

$$c_s = \frac{c}{\sqrt{3}} \left(1 + 0.2 \frac{1+z_{dec}}{1+z}\right)^{-1/2} \quad (5.52)$$

until recombination when baryons rapidly leave eqm



For  $t > t_{dec}$ , a NR ideal gas has  $P \propto \rho^\gamma$  with adiabatic index

$$\gamma = 1 + \frac{2}{f} = \frac{5}{3}$$

$\leftarrow$  deg. of freedom

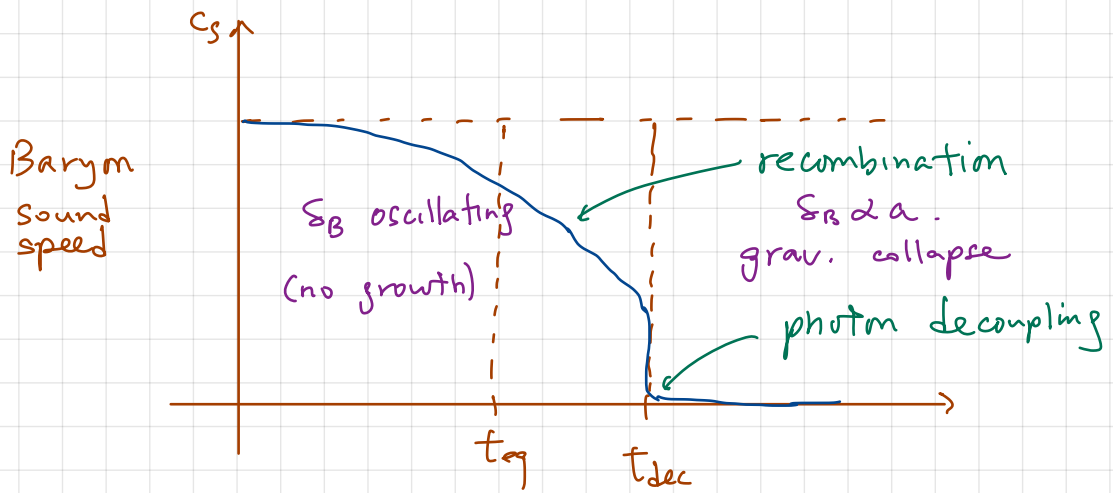
So

$$c_s = \sqrt{\gamma \frac{P}{\rho}} = \sqrt{\gamma \frac{k_B T}{m_p}} \approx 6000 \left(\frac{1+z}{1+z_{dec}}\right) \quad (5.53)$$

since  $T \propto a^{-2}$  decoupled NR gas.

So at  $t = t_{dec}$ ,  $c_s$  dramatically falls from  $c_s \approx 1.8c$  with

$M_J \approx 10^{14} M_\odot$  down to  $c_s \approx 10^5 c$ ,  $M_J \lesssim 10^5 M_\odot$



For  $t > t_{dec}$ , baryons fall into potential wells from CDM, and structures start to form.

## 5.4 Density perturbation across cosmic epochs

### Horizon-crossing timescales

Newtonian analysis (5.37, 5.44) is valid on sub-horizon scales with comoving mode  $k \geq 2\pi/c\tau$ . or physical scales

↑  
comoving horizon

$$\lambda(t) = \frac{2\pi a}{k} \lesssim cH^{-1}(t)$$

(sometimes  $k \geq 2\pi aH/c$ )

Horizon-crossing for mode  $k$  occurs at

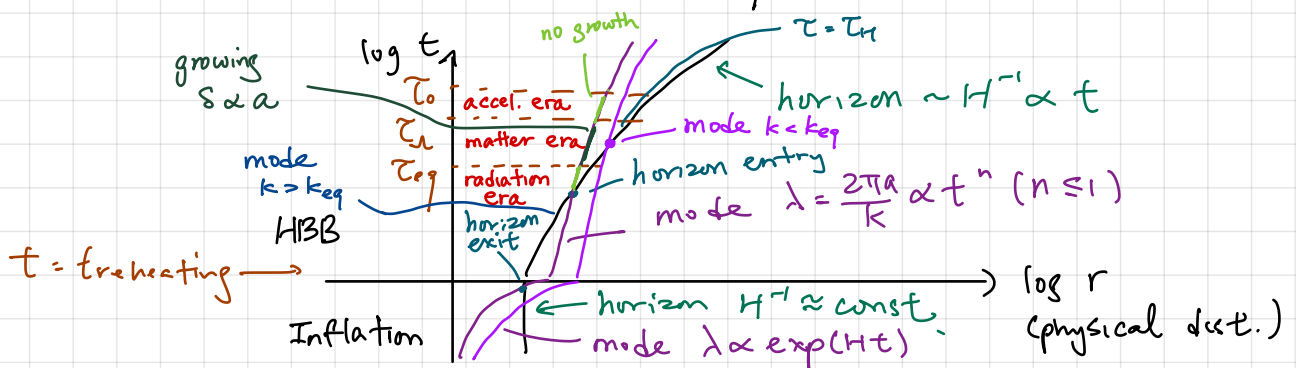
$$\tau_H = \frac{2\pi}{kc} \quad (5.54)$$

(or in cosmic time solve  $a(t_H)H(t_H) = \frac{2\pi}{c/k}$  for  $t_H$ ).

Key other lengthscale is crossing at equal matter-radiation

$\tau = \tau_{eq}$ . Define

$$k_{eq} = \frac{2\pi}{c\tau_{eq}} \quad (5.55)$$



## Sub-horizon growth (Newtonian $k \gg 2\pi/c\tau$ )

### Radiation era ( $\tau < \tau_{eq}$ )

Use (5.37) for NR matter in the rad. era. With  $a \propto \tau$ ,

$$\mathcal{H} = \frac{1}{\tau},$$

$$\delta'' + \frac{\delta'}{\tau} - \frac{3}{2} \frac{\delta}{\tau(\tau + \tau_{eq})} = 0 \quad (5.56)$$

where we have used

$$\Omega_m(\tau) \approx \frac{\rho_{m0}/a^3}{\rho_{m0}/a^3 + \rho_{r0}/a^4} = \frac{1}{1 + \frac{a}{a_{eq}}}$$

$$\text{with } a_{eq} = \Omega_{r0}/\Omega_{m0} = \bar{\rho}_{r0}/\bar{\rho}_{m0}$$

Taking  $\tau \ll \tau_{eq}$ , we can find approx. sol<sup>n</sup>

$$\delta(k, \tau) \approx \underbrace{A(k) \left(1 + \frac{3}{2} \frac{\tau}{\tau_{eq}}\right)}_{\text{growing mode}} + \text{decaying mode} \quad (5.57)$$

So deep in rad. era,  $\delta \approx \text{const.}$  with no growth until  $\tau = \tau_{eq}$ .

(See improved analytic sol<sup>n</sup> in Ex 4 Q5)

### Matter era ( $\tau_{eq} < \tau < \tau_{*}$ ) (see §5.2)

Key linear growth (5.14)

$$\delta(k, t) = A(k) \frac{a(t)}{a(\tau_i)} = A(k) \underbrace{\frac{1+z_i}{1+z}}_{=D(z)} \leq A(k) \frac{1+z_{eq}}{1+z_{*}}$$

growth rate

yields grav. collapse and structural formation.

Caveat is delayed collapse of baryons  $\tau_{eq} < \tau < \tau_{*}$ .

## Acceleration epoch ( $\tau_1 < \tau < \tau_0$ )

The last term  $\frac{3}{2} \Omega_m \mathcal{H}^2$  becomes negligible, so (5.37) becomes

$$\delta'' + \mathcal{H} \delta' = 0 \quad (5.58)$$

with sol<sup>n</sup>

$$\delta(k, \tau) = \underbrace{A(k)}_{\text{const.}} + \underbrace{B(k)/a(\tau)}_{\text{decaying}} \quad (5.59)$$

So accel. switches off "linear perturbation" growth.

## \* Initial Conditions \*

Assume inflationary fluctuations for there is good observed evidence (see § 3.4) with the following properties:

- Scale-invariant in metric or potential fluctuations

$$P_\phi(k) \approx A^2/k^3 \quad (5.60)$$

(strictly  $A k^{n_s-4}$ , so (5.15) variance  $\Delta_\phi^2(k) = \frac{k^3}{2\pi^2} P(k) \approx \text{const.}$

$\Rightarrow n_s \approx 1$ )

- Gaussian, so described by  $P(k)$  only

- Adiabatic with  $\delta_R = \frac{4}{3} \delta_M$  (\*) All particle species are created together, e.g. no. of photons is fixed relative to DM particle, i.e.  $\frac{n_R}{n_M} = \text{const.}$

But  $\rho_R \propto a^{-4} \propto n_R^{4/3} \Rightarrow \delta_{\rho R} \propto \frac{4}{3} \delta_{n_R}$  (linearising).

Also  $\rho_M \propto a^{-3} \Rightarrow \delta_{\rho M} \propto \delta_{n_M}$ , hence (\*).

## Superhorizon evolution ( $k \ll 2\pi/c\tau$ )

Require relativistic perturbation theory.

For  $k \ll 2\pi/c\tau$ , potential fluctuations are "frozen".

$$\frac{d\phi}{d\tau}(k, \tau) = 0$$

So from (5.60),

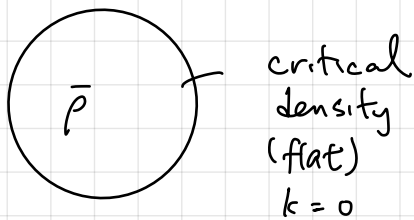
$$\phi(k, \tau) = \phi(k) = A/k^{3/2} \quad (5.62)$$

up to horizon re-entry  $\tau_H = 2\pi/kc$ .

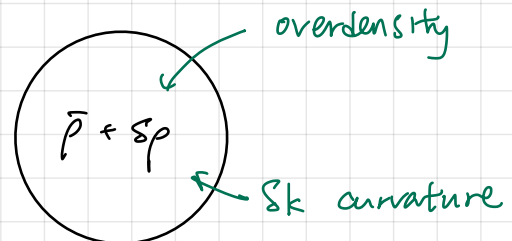
Exercise Use perturbed Poisson eqn (5.25) to show  $\phi = \text{const.}$  in matter era.

\* Aside: Superhorizon evolution ( $\tau < \tau_H = 2\pi/kc$ )

Consider two regions expanding at rate  $H$ :



$$H^2 = \frac{8\pi G}{3c^2} \bar{\rho}$$



$$H^2 = \frac{8\pi G}{3c^2} (\bar{\rho} + \delta\rho) - \frac{\delta k c^2}{a^2}$$

Subtract to find curvature

$$\delta k c^2 = \frac{8\pi G}{3c^2} \bar{\rho} \delta a^2 \quad (*)$$

Constant on superhorizon scales ( $\tau < \tau_H = 2\pi/kc$ )

- Matter era  $\delta_m \propto a \propto \tau^2$
- Radiation  $\delta_R \propto a^2 \propto \tau^2$

Relativistic Poisson equation include pressure

$$\nabla_x^2 \Phi = \frac{4\pi G}{c^2} a^2 (\rho + 3P) = \frac{4\pi G}{c^2} a^2 (1+3\omega) \rho$$

which has a perturbed part  $\Phi = \bar{\Phi} + \phi$ .

$$\nabla_x^2 \phi = \frac{4\pi G}{c^2} (1+3\omega) \bar{\rho} \delta a^2 = \frac{3}{2} (1+3\omega) \delta k c^2 \quad (+)$$

const.?

The potential  $\phi$  is constant on superhorizon scales,

$$\delta_m(k, \tau_H) = \frac{k^2 \phi(k)}{\frac{3}{2} \mathcal{H}^2} = \frac{\alpha}{6} \tau_H^2 A k^{1/2}$$

where  $\alpha = \begin{cases} 1 & \tau_H > \tau_{eq} \text{ (matter)} \\ 2 & \tau_H < \tau_{eq} \text{ (rad.)} \end{cases}$

$$\begin{aligned} \text{So } P(k)|_{\tau_H} &= \left(\frac{\alpha}{6}\right)^2 \tau_H^4 A^2 k = \left(\frac{\alpha}{6}\right) \left(\frac{\tau_H}{\tau_{eq}}\right)^4 (\tau_{eq})^4 A^2 k \\ &= \left(\frac{\tau_H}{\tau_{eq}}\right)^4 B_{eq} k \end{aligned}$$

where  $B_{eq} = \frac{\alpha}{6} \tau_{eq}^2 A$  to normalize at  $k = k_{eq}$ .

## Transfer functions

Collate these results into a sol<sup>n</sup> of perturbation eqn called a transfer f<sup>n</sup>

$$P(k) = D^2(\tau_{eq}) T^2(k) k^4 P_\phi(k) \quad (5.66)$$

↑ ↑ ↑ ↑ ↑  
Power spectrum today maximum growth factor  $\tau_{eq} \rightarrow \tau_H$  transfer for specific k convert  $\phi \rightarrow \delta$  inflationary fluctuation

• Radiation era modes ( $k > k_{eq}$ ,  $\tau_H < \tau_{eq}$ ).

At horizon-crossing  $\tau_H = 2\pi/kc < \tau_{eq}$  from (5.65), we have

$$P(k)|_{\tau_H} = \left(\frac{\tau_H}{\tau_{eq}}\right)^4 B_{eq} k = P(k)|_{\tau_{eq}} \quad (5.67)$$

since effectively no growth (stagnation).

For  $\tau_{eq} < \tau < \tau_{eq}$ , we have full growing mode (5.4),

$$\delta \propto \frac{a}{a_{eq}} = \left( \frac{\tau}{\tau_{eq}} \right)^2.$$

$$P(k)|_{\tau_{eq}} = \left( \frac{\tau_H}{\tau_{eq}} \right)^4 \left( \frac{\tau_{eq}}{\tau_H} \right)^4 B_{eq}^2 k = P(k)|_{\tau_0} \quad (5.69)$$

because growth is suppressed  $\tau_H < \tau < \tau_0$ .

Substituting  $\tau_H = 2\pi/k$ ,  $\tau_{eq} = 2\pi/k_{eq}$ .

$$P(k)|_{\tau_0} = \left( \frac{1+z_{eq}}{1+z_H} \right)^2 \left( \frac{k_{eq}}{k} \right)^4 B_{eq}^2 k \approx D^2 B_{eq}^2 \frac{k_{eq}^4}{k^3} \quad (k > k_{eq}) \quad (†)$$

• Matter era ( $k < k_{eq}$ ,  $\tau_H > \tau_{eq}$ )

At  $\tau = \tau_H$ , we have

$$P(k)|_{\tau_H} = \left( \frac{\tau_H}{\tau_{eq}} \right)^4 B_{eq}^2 k$$

which grows from  $\tau_H < \tau < \tau_{eq}$  as  $a(\tau_{eq})/a(\tau_H)$ .

$$\begin{aligned} P(k)|_{\tau_0} &= P(k)|_{\tau_H} = \left( \frac{\tau_{eq}}{\tau_H} \right)^4 \left( \frac{\tau_H}{\tau_{eq}} \right)^4 B_{eq}^2 k \\ &= \left( \frac{1+z_{eq}}{1+z_H} \right)^2 B_{eq}^2 k \end{aligned} \quad (‡)$$

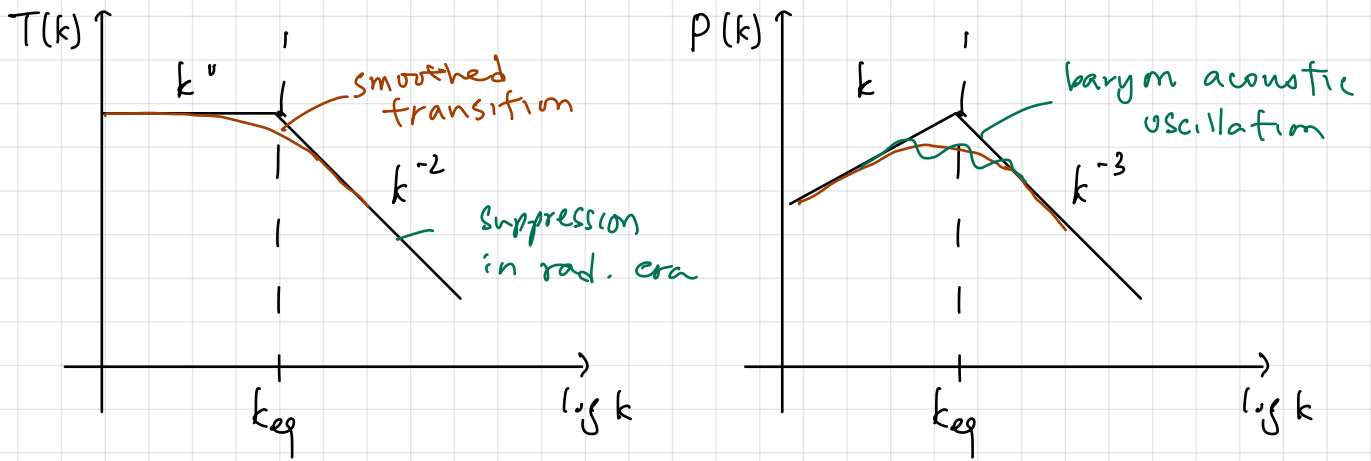
### Power spectrum

$$\begin{aligned} P(k) &= D^2 (z_{eq}) T^2(k) B_{eq}^2 k \\ &= \left( \frac{1+z_{eq}}{1+z_H} \right)^2 B_{eq}^2 \begin{cases} k & k < k_{eq} \\ k_{eq}^4/k^3 & k > k_{eq} \end{cases} \end{aligned}$$

with transfer  $f^2$

$$T(k) = \begin{cases} 1 & k < k_{eq} \\ \left( \frac{k_{eq}}{k} \right)^2 & k > k_{eq}. \end{cases}$$

with (apparent) suppression for  $k > k_{eq}$ , no growth in rad. era,



where  $k_{eq} \approx 0.015 h \text{ Mpc}^{-1}$ . Smooth transfer at  $k = k_{eq}$ ,  
 obtained from Ex. 4 Q5.

Baryon acoustic effects imprinted  $\tau_{BAO} \approx 100 h^{-1} \text{ Mpc}$ .

## \*5.4 Cosmic Microwave Sky

(See notes)